

NEBRASKA ACCOUNTABILITY
AND DISCLOSURE
COMMISSION
11th Floor, State Capitol
P.O. Box 95086
Lincoln, NE 68506
(402) 471-2522

POSTMARK
DATE
COMPLAINT
NUMBER

OFFICE USE ONLY

BEFORE COMPLETING THIS FORM
READ THE INSTRUCTIONS ON
REVERSE SIDE

COMPLAINT

CONFIDENTIALITY: ONCE A COMPLAINT IS FILED WITH THE COMMISSION, ALL COMMISSION PROCEEDINGS AND RECORDS
RELATING TO THE COMPLAINT ARE CONFIDENTIAL EXCEPT AS PROVIDED IN SECTION 49-14, 124 AND COMMISSION RULE 1.

A. Complainant (Person Filing Complaint)

Name: FISCHER BRENDAN M Telephone: 608 260 9713
(LAST) (FIRST) (MIDDLE)
Address: 520 UNIVERSITY AVE STE. 260 MADISON WI 53703
STREET ADDRESS OR RURAL ROUTE CITY STATE ZIP CODE

B. Name and address of the person alleged to have violated the Nebraska Political Accountability and Disclosure Act (sections 49-1401 to 49-14, 141, Revised Statutes).

Name: SMITH JAMES Telephone: 402 471 2730
(LAST) (FIRST) (MIDDLE)
Address: DISTRICT 14 ROOM #1118 LINCOLN NE 68509
STREET ADDRESS OR RURAL ROUTE CITY STATE ZIP CODE

C. Complainant alleges: (Include names, dates and places. Use a separate sheet of paper if needed.)

SEE ATTACHED.

D. Verification:

State of Wisconsin)
County of Dane)

ss

I, BRENDAN FISCHER, do swear (or affirm) that I am the complainant as alleged above and that this
complaint and all attachments thereto have been examined by me and that the allegations contained therein are true to the best of my knowledge
and belief.

B F
(Signature of Complainant)

Subscribed and sworn before me this

2nd day of July, 2013
DAY MONTH YEAR
my commission expires
4/19/15

[Signature]
Notary Public

NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION

The Center for Media and Democracy)	
Brendan Fischer)	
<i>Complainant</i>)	
)	COMPLAINT
Against)	
)	
Senator James Smith)	
<i>Respondent</i>)	

This complaint is made pursuant to Neb. Rev. St. §§ 49-1493(5), 49-1496(2), 49-1490.

I, Brendan Fischer, allege that:

Senator James Smith accepted a gift of travel expenses from the Government of Alberta, Canada in October 2012, roughly in the amount of \$1466.67, and potentially other gifts, and failed to disclose the amount, donor name, and description or circumstances of these gifts in his 2012 annual Financial Interests Statement filed on April 1, 2013 as required under §49-1493(5) and 49-1496(2) of the Nebraska Political Accountability and Disclosure Act (NPADA); Sen. Smith may also have accepted impermissible gifts from TransCanada, a lobbying principal in Nebraska prohibited under Neb. Rev. Stat. §49-1490 from providing legislators with anything valued at more than \$50 in any calendar month.

Sen. Smith Received Gifts of Travel

In October of 2012, Sen. Smith, along with eight other legislative members of the American Legislative Exchange Council (ALEC) participated in an ALEC “Oil Sands Academy” which entailed flying to Alberta, Canada to meet with lobbyists for pipeline operator TransCanada and other corporate interests, and to tour oil extraction facilities operated by Shell Oil and Devon Energy. *See* Exhibit A (email from Sen. Smith); Exhibit B (ALEC blog post by Sen. Piscopo);¹ Exhibit C (ALEC blog post by Sen. AJ Griffin)².

Upon arrival in Calgary, the participants visited TransCanada headquarters, the operator of the proposed Keystone XL Pipeline, *see* Exhibit B, and the corporation that has spent the most on lobbying in Nebraska over the past two years. *See* Exhibit D (2012 Common Cause Lobby Report). On the second day of the trip, the Alberta government chartered a plane for all legislators to fly two hours north of Calgary to view tar sands oil extraction facilities operated by

¹ John Piscopo, *Alberta Bound: Observations From the Canadian Oil Sands*, AMERICANLEGISLATOR: A FORUM FOR LEGISLATIVE DEBATE BY THE AMERICAN LEGISLATIVE EXCHANGE COUNCIL (April 3, 2013), *available at* <http://www.americanlegislator.org/alberta-bound-observations-from-the-canadian-oil-sands/>

² AJ Griffin, *Lessons Learned From Our Neighbors to the North*, AMERICANLEGISLATOR: A FORUM FOR LEGISLATIVE DEBATE BY THE AMERICAN LEGISLATIVE EXCHANGE COUNCIL (May 7, 2013), *available at* <http://www.americanlegislator.org/lessons-learned-from-our-neighbors-to-the-north/>

Shell Oil and Devon Energy. *See* Exhibit E (ALEC blog post by Senator Branan)³. Oil extracted from these facilities would likely be transported through TransCanada's proposed Keystone XL pipeline.

According to the Alberta government, the expenses paid for travel within Canada on this chartered plane amounted to \$1466.77 per legislator. *See* Exhibit F (email from Alberta government). Evidence suggests that the Alberta government only covered a portion of these charter flight expenses; it is not known who else provided the funding. *See* Exhibit G.⁴

Corporate interests provided meals throughout the "Oil Sands Academy." For example, Shell Oil sponsored the lunch on the Wednesday of the trip and the Canadian Association of Petroleum Producers hosted and sponsored the Wednesday night dinner. *See* Exhibit G.

In an email sent to the Center for Media and Democracy on May 29, 2013, Sen. Smith affirmed that he did participate in the trip to Canada in October 2012. *See* Exhibit A. In the email, Senator Jim Smith stated: "I covered the costs of my air travel to Canada and my lodging while travel to the oil sands region was provided by the Government of Alberta and the Canadian Ministry of Energy." *Id.*

However, in September 2012, when ALEC invited legislators to participate in the "Oil Sands Academy," it offered to pay for their lodging at the Westin Calgary and their airfare from the U.S. to Canada. *See* Exhibit H.⁵ The cost of lodging was \$648.92 for two nights, according to an email ALEC sent to an Ohio legislator who participated in the "Academy." *See* Exhibit I. A separate email apparently sent to all legislators attending the "Academy" lists Sen. Smith staying in the ALEC room block. *See* Exhibit J.

ALEC may have raised the funds for the accommodations and airfare from corporate interests, including from at least one corporate lobbying principal in Nebraska.

According to an email from ALEC International Relations Task Force Director Karla Jones, TransCanada (which is a lobbying principal in Nebraska) and the American Fuel & Petrochemical Manufacturers were listed as "sponsors" of the trip. *See* Exhibit G. In a separate document where ALEC advertises corporate sponsorship opportunities, an ALEC "Academy" is described as "an intensive, two-day program for legislators that focus on a specific area of policy." *See* Exhibit K.

Sponsoring an ALEC "Academy" costs \$80,000. *Id.* Presumably, TransCanada paid all or part of this sum to sponsor the ALEC "Oil Sands Academy" in October.

³ Cliff Branan, *International Trip Provides Education for the States*, AMERICANLEGISLATOR: A FORUM FOR LEGISLATIVE DEBATE BY THE AMERICAN LEGISLATIVE EXCHANGE COUNCIL (March 27, 2013), available at <http://www.americanlegislator.org/international-trip-provides-education-for-the-states/>

⁴ ALEC International Relations Task Force Director Karla Jones writes: "The government of Alberta sponsored a portion of the flights we took to the oil sands facilities."

⁵ Email communications regarding Oil Sands ALEC Academy sponsors sent from ALEC's Karla Jones to "Oil Sands Academy Participants"

TransCanada May Have Provided Gifts in Excess of Statutory Limits, and Sen. Smith Did Not Disclose Gifts of Travel From the Government of Alberta

Lobbying principals are prohibited from providing “gifts” to legislators, defined as anything valued at more than \$50 in any calendar month (with certain exceptions). Neb. Rev. Stat. §49-1490 (1-3). It is not clear whether Sen. Smith directly or indirectly received gifts from TransCanada by way of the lobbying principal “sponsoring” the ALEC Oil Sands Academy, which potentially involved expenditures of \$80,000.

The Nebraska Accountability and Disclosure Commission has previously held that when ALEC accepts funds from lobbyists or principals intended for the benefit of Nebraska legislators, ALEC “is acting on behalf of a lobbyist or principal.”⁶ Lobbying principals cannot evade the state ethics and lobbying laws by filtering gifts to legislators through ALEC; to do so would allow a principal to “accomplish indirectly that which could not be done directly.” *Id.*

Additionally, evidence indicates that Sen. Smith failed to disclose the gifts of travel he received from the Government of Alberta.

Pursuant to Neb. Rev. St. § 49-1493(5) all members of the Nebraska legislature must file a statement of financial interests by April 1st of each year that they hold such office.⁷ The statement of financial interests should include a description of any gifts received during that year, the amount of the gifts, and the identity of the giver.⁸ Travel expenses and reimbursements for travel expenses qualify as gifts. *See* Neb. Rev. Stat. §49-1423;⁹ *see also* Tit. 4, Ch. 6, § 013.02C.¹⁰

In Sen. Smith’s Statement of Financial Interests for the year of 2012, however, he did not disclose the Alberta government’s gift of travel expenses for chartered plane travel while in Canada, nor did he disclose any other potential gifts received on the trip. *See* Exhibit L (Sen. Smith Statement of Financial Interests for 2012).

In contrast, two legislators from Ohio who also participated in the “Academy” did disclose the exact amount of the trip paid for by the Alberta government in their 2012 financial disclosure statements. *See* Exhibit L (Ohio financial disclosure statements). In addition, these two Ohio

⁶ Neb. Accountability and Disclosure Comm’n, Op. No. 157 (1995), *available at* <http://nadc.nol.org/AdvisoryOpinions/OPINION%20157.htm>.

⁷ *See also*, Nebraska Accountability and Disclosure Commission, Rules and Regulations, Tit. 4, Ch. 2, § 002.05, *available at* http://www.sos.ne.gov/rules-and-regs/regsearch/Rules/Accountability_and_Disclosure_Commission/Title-4/Chapter-02.pdf

⁸ Under §49-1496(2) the statement of financial interests must include the “name, address, occupation or nature of business of any person from whom a gift in the value of more than one hundred dollars was received, a description of the gift and the circumstances of the gift, and the monetary value category of the gift.”

⁹ Gifts are defined under §49-1423 as a “payment, subscription, advance, forbearance, rendering, or deposit of money, services, or anything of value, unless consideration of equal or greater value is given therefor” (with an exception for meals provided for immediate consumption). Out-of-state travel expenses or reimbursement for such expenses are things of value and do not fall under any statutory exceptions.

¹⁰ *Available at* <http://nadc.nol.org/pdf/Rule6%2009.pdf>. In its rules and regulations interpreting the Political Accountability and Disclosure Act, the Nebraska Accountability and Disclosure Commission has interpreted “gifts” to encompass travel.

legislators disclosed the amount paid by ALEC for their lodging in Calgary and plane tickets from the U.S. to Canada.

We respectfully ask the Nebraska Accountability and Disclosure Commission to investigate whether Sen. Smith accepted any impermissible gifts from lobbying principals, and to assess whether he failed to disclose his receipt of any other gifts from lobbying principals or others. Pursuant to § 49-14, 126, a violation of the Nebraska Political Accountability and Disclosure Act (NPADA) could result in a civil penalty of not more than two thousand dollars. If the Commission finds a knowing violation of the NPADA, under § 49-14, 134 the violator may be charged with a Class IV felony.

Date: JUL 2, 2013

B F
(complainant's signature)

Brendan Fischer
Center for Media and Democracy
520 University Avenue Suite 260
Madison, Wisconsin 53703

I, Brendan Fischer, being first duly sworn on oath state that I personally read the above complaint, and that the above allegations are true based on my personal knowledge and, as to those stated on information and belief, I believe them to be true.

B F
(complainant's signature)

*signed before me this
2nd day of July 2013*

[Signature]

*notary State of WI, Dane County
my commission expires 4/19/2015*



EXHIBIT INDEX

Exhibit A: May 29, 2013 Email from Senator Jim Smith to Center for Media and Democracy Research Director Nick Surgey

Stating that Senator Smith attended the trip, and paid for his own travel to Canada and his lodging, and that the Alberta Government and the “Canadian Ministry of Energy” paid for his travel while in Canada.

Exhibit B: April 3, 2013 blog post on ALEC website by Sen. John Piscopo of Connecticut

Stating that nine ALEC member legislators and ALEC’s International Relations Task Force Director travelled to Calgary, Canada and met with TransCanada on the first day of the trip, then met with various other corporate groups involved in the oil sands pipeline project.

Exhibit C: May 7, 2013 blog post on ALEC website by Senator AJ Griffin of Oklahoma

Referring to the ALEC trip to Canada as the “Oil Sands Academy.”

Exhibit D: 2012-2013 Common Cause Lobby Report for Nebraska Lobby Activities

Listing TransCanada as spending \$698,027 on lobbying in 2011-2012, more than any other lobbying principal.

Exhibit E: March 27, 2013 blog post on ALEC website by Senator Cliff Branan of Oklahoma

Stating that the group of ALEC legislators flew from Calgary to Fort McMurray to an oil extraction facility operated by Shell and learned about the oil extraction process from Devon Energy.

Exhibit F: May 24-May 27, 2013 email correspondence between Nick Surgey and David Sands of the Alberta government

Confirming that the Alberta government chartered a flight for the ALEC legislators, and the cost per legislator was estimated at \$1466.67.

Exhibit G: November 21, 2012 email from ALEC International and Federal Relations Task Force Director Karla Jones to Oil Sands Academy participants

Listing corporate sponsors of the ALEC Academy trip, including TransCanada, and urging legislators to send thank you notes; also states that the “government of Alberta sponsored a portion of the flights we took to the oil sands facilities.”

Exhibit H: September 28, 2012 invitation to Oil Sands Academy from ALEC International and Federal Relations Task Force Director Karla Jones

Sent from ALEC’s Jones to Ohio Sen. John Adams stating “we have a budget that will allow for ten legislator participants ... ALEC will provide round trip transportation between an airport near your home and Calgary [and] lodging for two nights in Calgary in a block at the Westin Calgary,” and providing an itinerary.

Exhibit I: January 18-January 22, 2013 email correspondence between Ryan Crawford, staffer for Ohio Rep. John Adams, and ALEC International and Federal Relations Task Force Director Karla Jones

Stating that ALEC paid \$648.92 for Rep. Adams' lodging in Canada, and \$746.90 for Rep. Adams' flight to Canada.

Exhibit J: October 4, 2012 Email from Karla Jones to all participants of the Oil Sands Academy

Including a spreadsheet for the "ALEC Room Block for October 16-18," listing Sen. Jim Smith as a guest under the ALEC block.

Exhibit K: "2013 Policy Programming Sponsorship Opportunities"

Listing the cost to sponsor an ALEC "Academy" at \$80,000

Exhibit L: 2012 Statement of Financial Interest for Sen. Jim Smith

Item 11: Sources of Gifts of a Value of More than \$100 Received Except Gifts from Relatives – does not list an amount from the Alberta Government for the travel expenses paid for the chartered flight within Canada or any amount that may have been paid by ALEC.

Exhibit M: 2012 Financial Disclosures from Rep. Clifford Rosenberger and Rep. John Adams of Ohio

On Page 9, Item 8, Rep. Rosenberger lists \$1466.67 from the Government of the Canadian Province of Alberta and \$1574.40 from ALEC as "Travel" payments or expenses incurred; on Page 9, Item 8, Rep. Adams lists \$1466.67 from the Government of the Canadian Province of Alberta and \$1395.82 from ALEC as "Travel" payments or expenses incurred; both list the receipt of "Meals, Food and Beverages" from ALEC on Page 10, Item 10.

EXHIBIT A

Re: Question from reporter regarding your trip to Alberta, October 2012

Jim Smith (jsmith@leg.ne.gov) [Add contact](#)

5/29/2013 10:23 AM

To: Nick Surgey;

Dear Mr. Surgey,

I did take a brief trip in October of 2012 to tour the oil sands in Alberta, Canada. I covered the costs of my air travel to Canada and my lodging while travel to the oil sands region was provided by the Government of Alberta and the Canadian Ministry of Energy.

Senator Jim Smith
District #14
State Capitol
Lincoln, NE 68509

On Fri, May 24, 2013 at 4:07 PM, Nick Surgey <nick@prwatch.org> wrote:

Sen. Jim Smith,

I am writing an article about legislator trips to visit the oil sands in Alberta, Canada. I understand that you travelled on a trip there in October 2012. Could you tell me about the funding of that trip? I would also be very interested in hearing about the agenda if you have any materials or can recall those details.

With thanks,

Nick

EXHIBIT B

AMERICANLEGISLATOR

A FORUM FOR LEGISLATIVE DEBATE BY THE AMERICAN LEGISLATIVE EXCHANGE COUNCIL

Alberta Bound: Observations from the Canadian Oil Sands

ALEC Blog Team | April 3, 2013 | [Add a comment](#)

Alberta Bound: Observations from the Canadian Oil Sands

By the Honorable John Piscopo, CT (HD-76)

ALEC National Chair

Like everyone else, I had heard about the vast reserves of crude oil in Canada called the "Oil Sands," so I jumped at the chance when given the opportunity to tour and learn more about them. I flew to Calgary in the Province of Alberta, where my first impression of the people was a genuine friendliness in the midst of a city experiencing dramatic and rapid growth. Our small group of nine ALEC member legislators and ALEC's International Relations Task Force Director met in the hotel lobby and walked from there to our first stop at the headquarters of pipeline manufacturer and operator, TransCanada, to learn about the pipeline itself. Company representatives gave us a quick overview of the company, its products, and safety procedures stressing their exemplary safety track record. They are responsible for pipelines that already go the distance through Canada, and much of the US. To say I was impressed is an understatement.

Later that evening, we met with representatives from the Alberta Government. They emphasized Canada's position as a consistent ally, neighbor and friend and admitted to being more than a little puzzled by our resistance to accept their oil. It is a compelling message considering our eagerness to import oil from countries with which we don't enjoy such close ties. Canadian governance is much like ours, but they have a greater degree of sovereignty for their states (Provinces) – which have more power to make their own regulations and trade policy. We ended the evening with a briefing on Low Carbon Fuel Standards from the American Fuel and Petrochemical Manufacturers. A low carbon fuel standard (LCFS) is a cap and trade program for transportation fuels that is designed to block imports of Canadian oil sands and ration gasoline use in order to drive up the use of alternative fuels. California has adopted LCFS and several other states are considering them. While bringing more electric and natural gas vehicles into our transportation system sounds like an admirable goal, raising gasoline prices by up to 180 percent (which an LCFS would do) is not a very appealing way to do it.

Early the next morning, we boarded a small plane and traveled about two hours to northern Alberta and the oil sands. The entire time we were in the air, we flew over the boreal forest, a beautiful ecosystem spanning the length of Canada. This is a huge country and the oil sands take up a very small portion of it. We visited an above ground mining operation in which the sand is dug up, processed and returned to the land. We also visited another operation where the reserves are deeper below the surface and cannot be mined. Steam is injected down a well and the loose oil is pumped to the surface. At each facility, everyone who spoke to us stressed the absolute necessity of putting the environment first, showing us examples of how they have made stewardship of the land a priority. After all, this is their home and they have an interest in preserving it.

On the final day, the Pembina Institute, an environmental group, gave a thoughtful and informative presentation. They were rightly worried about a number of issues surrounding oil sands development in Alberta. Representatives from industry and the Alberta government also attended the meeting and I was struck by the give-and-take attitude between all present. Everyone was respectful, the conversation was enlightening and all of our questions were answered.

READ MORE IN CATEGORY



Safeguarding American Jobs by Protecting Intellectual Property



International Trip Provides Education for the States



Comments of support for the Keystone XL Pipeline needed by Monday, April 22, 2013

Search American Legislator

SUBSCRIBE BY EMAIL

Subscribe

HOT TOPICS

ALEC budgets Canada Clean Energy Standard Communications and Technology competition competitiveness Corrections corrections reform crime Criminal Justice data collection economy Education energy EPA EU FCC federalism free markets Government regulation health care information technology Intellectual Property International Relations International Trade juvenile justice Keystone XL law limited government Obama overcriminalization pension reform public safety recidivism Regulation renewable energy Rich States Poor States security tax Tax Myths Debunked telecommunications transparency transportation wireless

LATEST TWEET

How does #PA rank in #RSPS? Listen to our radio interview with the Lincoln Radio Journal. <http://t.co/Bg5XBE5cMu>

about 1 hour ago from HootSuite

reply retweet favorite

Follow @alec_states 5,357 followers

Like

5

Tweet

0

0

Topics: [CANADA](#) | [ENERGY](#) | [INTERNATIONAL RELATIONS](#) | [INTERNATIONAL TRADE](#) | [KEYSTONE XL](#)

[ALEC Blog Team](#) | [View posts by ALEC Blog Team](#)

Leave a Reply

Your email address will not be published. Required fields are marked *

Name *

Email *

Website

Comment

You may use these HTML tags and attributes: <abbr title=""> <acronym title=""> <blockquote cite=""> <cite> <code> <del datetime=""> <i> <q cite=""> <strike>

Post Comment

Comments Policy: We pre-moderate comments on our blog before they are published. This means there will be a delay between the time your comment is submitted and it appears on the post. We reserve the right to reject comments that are rude, profane or non-germane to this post.



American Legislative Exchange Council

Like 2,925



American Legislative Exchange Council

Rich States, Poor States appears in USA TODAY.

<http://www.usatoday.com/story/money/business/2013/05/30/rich-states-poor-states-report/2372937/>

Think tank ranks GOP states best for economic growth
www.usatoday.com

JACKSON, Miss. — A conservative think tank has

Facebook social plugin



© 2013 ALEC - American Legislative Exchange Council. [Privacy Policy](#) [Terms of Use](#) [Media Policy](#)

The views in blog posts are those of their authors and do not necessarily reflect the views of The American Legislative Exchange Council. The comments posted on this website are solely the opinions of the posters. Libelous or obscene comments will be removed.

EXHIBIT C

AMERICAN LEGISLATOR

A FORUM FOR LEGISLATIVE DEBATE BY THE AMERICAN LEGISLATIVE EXCHANGE COUNCIL

Lessons Learned from Our Neighbors to the North

ALEC Blog Team | May 7, 2013 | [Add a comment](#)



Insights on Alberta from an Oil Sands Academy Participant

By The Honorable AJ Griffin, OK (SD-20)

Have you ever had a neighbor who lived close to you, but about whom you knew little? Before the ALEC International Relations Task Force trip to visit the oil sands of Alberta, that was my relationship with our neighbors to the north in beautiful Canada.

As a native Oklahoman, the importance of oil has always been clear to me. Energy is the bedrock of Oklahoma's economy. We are very aware of the rich abundance of these sources of energy and take pride in leading the nation as innovators of new energy technology. But lying just to our north is another rich source of oil being captured using the newest of technologies. Companies led by Devon Energy in partnership with the government of Alberta are demonstrating the newest methods of extracting once inaccessible sources of fuel and doing so in an environmentally responsible manner. The result is a thriving economy and the potential for the US and Canada to work together toward energy independence.

Getting to know a neighbor better can help forge life-long friendships based on common roots and interests. Likewise our neighbors in Canada have much to offer us. The trip with ALEC was an eye-opening experience. I am now better informed regarding the importance of the oils sands to our future as a nation and am encouraged by the partnerships I saw demonstrated in the energy industry.

More information on the Keystone XL Pipeline is available in the links below.

<http://www.americanlegislator.org/comments-of-support-for-the-keystone-xl-pipeline-needed-by-monday-april-22-2013/>

<http://www.americanlegislator.org/alberta-bound-observations-from-the-canadian-oil-sands/>

<http://www.americanlegislator.org/international-trip-provides-education-for-the-states/>

<http://www.americanlegislator.org/the-keystone-xl-excuses-for-inaction-are-disappearing-fast/>

READ MORE IN CATEGORY

SUBSCRIBE BY EMAIL

Subscribe

HOT TOPICS

ALEC budgets Canada Clean Energy Standard Communications and Technology competition competitiveness Corrections corrections reform crime Criminal Justice data collection economy Education energy EPA EU FCC federalism free markets Government regulation health care information technology Intellectual Property International Relations International Trade juvenile justice Keystone XL law limited government Obama overcriminalization pension reform public safety recidivism Regulation renewable energy Rich States Poor States security tax Tax Myths Debunked telecommunications transparency transportation wireless

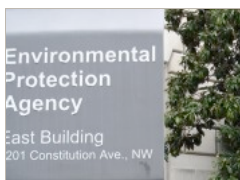
LATEST TWEET

How does #PA rank in #RSPS? Listen to our radio interview with the Lincoln Radio Journal. <http://t.co/Bg5XBE5cMu>

about 1 hour ago from HootSuite

[reply](#) [retweet](#) [favorite](#)

Follow @alec_states 5,357 followers



ALEC Weighs in on EPA's Proposed Carbon Dioxide Standard



Capital Gains Taxes: The Phantom Menace



Don't Let Government Break the Internet

Like 0 Tweet 4 0

Topics: [CANADA](#) | [ENERGY](#) | [INTERNATIONAL RELATIONS](#) | [INTERNATIONAL TRADE](#) | [KEYSTONE XL](#)

[ALEC Blog Team](#) | [View posts by ALEC Blog Team](#)

Leave a Reply

Your email address will not be published. Required fields are marked *

Name *

Email *

Website

Comment

You may use these HTML tags and attributes: `` `<abbr title="">` `<acronym title="">` `` `<blockquote cite="">` `<code>` `<del datetime="">` `` `<i>` `<q cite="">` `<strike>` ``

Post Comment

Comments Policy: We pre-moderate comments on our blog before they are published. This means there will be a delay between the time your comment is submitted and it appears on the post. We reserve the right to reject comments that are rude, profane or non-germane to this post.

American Legislative Exchange Council

American Legislative Exchange Council

Rich States, Poor States appears in USA TODAY.

<http://www.usatoday.com/story/money/business/2013/05/30/rich-states-poor-states-report/2372937/>

Think tank ranks GOP states best for economic growth
www.usatoday.com

JACKSON, Miss. — A conservative think tank has ranked Mississippi as the

.Facebook social plugin



© 2013 ALEC - American Legislative Exchange Council. [Privacy Policy](#) [Terms of Use](#) [Media Policy](#)

The views in blog posts are those of their authors and do not necessarily reflect the views of The American Legislative Exchange Council. The comments posted on this website are solely the opinions of the posters. Libelous or obscene comments will be removed.

EXHIBIT D

2013-2012 Common Cause Lobby Report

In 2012 special interests spent \$13,149,337 with a legislative session of 60 days. It would appear that less money was spent in 2012 since we reported a total of \$14,232,895 in 2011. Legislation, however, that was not passed or killed during the 90 day session in 2011 was “carried over” to next year’s 60 day session. For that reason it is worth looking at the lobbying activity during both the 2011 and 2012 sessions. THE TOTAL LOBBYING EXPENCE FOR 2011 and 2012 WAS \$27,382,232

Some of the lobbying expenses reported by special interests are totaled below:

	2011 (90 Days)	2012 (60 Days)
Entertainment	\$338,885	\$355,554
Gifts	\$18,701	\$12,444
Tickets of Admission	\$17,651	\$12,832
Travel	\$142,904	\$52,913
Miscellaneous	\$619,995	\$162,306
Lobbyist Compensation	\$12,199,644	\$12,080,451

*These figures reflect total lobbying expenditures spent on all Nebraska government officials and their staffs.

Legislative Impact

It remains difficult to measure the full impact of lobbying money on the Nebraska Unicameral. Lobbyists are restricted to gifts of \$50 per month per senator. Senators must report only gifts valued over \$100. Principals, those special interests that hire lobbyists, only report total expenditures. Since food and beverages are exempt from reporting the picture becomes

clouded. Golf outings, luncheons, holiday gifts, birthday gifts, wedding presents, and tickets to events are difficult to track to specific senators.

If we use TransCanada as an example, we know that over a two-year period the company spent \$698,027 on lobbying activity but we cannot track the money to specific events or specific recipients. If TransCanada gave a senator a gift value under \$100, neither TransCanada nor the senator would have to report the value or identify the gift. If TransCanada held an elaborate dinner and invited every senator providing food and beverages amounting to \$200 per senator the expense might only show up in TransCanada's total miscellaneous figure. The public could not find out if their senator attended or the actual value of the event.

The only lobbying entity that provides greater disclosure is the University of Nebraska. Several years ago the University agreed to disclose their "gifts of admission" so that the public could see who received the highly valued football tickets. It has been reported that the seating of senators is between the 40-yard lines about halfway up the lower section on the west side of the stadium. In 2012 the University placed a value of \$784 on two season tickets. Senators are not required to make the required "foundation donation."

It can be argued that who gets free football tickets is a trivial issue but it is the only true measure of how accurately gifts are reported. The chart below is compiled from the University of Nebraska's Principal's Report and the Statement of Financial Interests filed by the senators with the Nebraska Accountability and Disclosure Commission. The chart shows who accepted tickets, the value of the tickets and who actually reported the gift. The University reports tickets for single games of basketball and UNO ice hockey but those tickets are valued under \$100 and are not required to be reported by senators.

Senators Who Accepted Free UNL Football Tickets
2012

	Accepted Two Season Tickets: \$792	Paid for Tickets with Campaign Funds	Accepted Two Club Tickets: \$112
Sen. Coash	Reported		0
Sen. Conrad	Reported		0
Sen. Cornett	Not Reported		Not Reported
Sen. Council	Reported		0
Sen. Dubas	Reported		Not Reported
Sen. Haar	Reported		0
Sen. Howard	Not Reported		0
Sen. Heidemann	0		Reported
Sen. Karpisek	Reported		Not Reported
Sen. Larson	0		Reported
Sen. Lautenbaugh	Not Reported		Not Reported
Sen. Louden	Not Reported		Not Reported
Sen. McCoy	0		Reported
Sen. McGill	Reported		0
Sen. Mello	0		Not Reported
Sen. Nelson	0		Reported
Sen. Pahls	Reported		0
Sen. Price	Reported		Not Reported
Sen. Schilz	0		Not Reported
Sen. Smith	0	Paid with Campaign Funds	0

*0 indicates tickets not accepted or not offered.

+It should also be noted that the University does not disclose senators who pay for tickets with campaign dollars or with their own money. Just having access is a perk.

Who Spends the Most?

There are more than 450 organizations registered with the Clerk of the legislature as “principals,” or entities that are involved in lobbying. Some organizations have unpaid lobbyists but the vast majority hire individuals or firms to make their voices heard. Below are listed some of the top spenders for 2011 ninety day sessions and the 2012 sixty day session. Clearly lobbying goes on during the entire year but it is more intense during the legislative session.

<u>Principals</u>	<u>2011</u>	<u>2012</u>	<u>Two-Year Total</u>
TransCanada	\$603,031	\$94,996	\$698,027
Assoc. of Neb. Ethanol Prod.	\$293,913	\$201,825	\$495,738
League of Municipalities	\$280,979	\$210,851	\$491,830
Neb. State Ed. Assoc.	\$146,349	\$137,761	\$284,110
Neb. State Bankers	\$142,785	\$125,499	\$268,284
Neb. Chamber of Commerce	\$128,783	\$129,895	\$258,678
University of Nebraska	\$116,272	\$121,718	\$237,990
Union Pacific	\$84,298	\$87,504	\$171,802
AT+T	\$43,295	\$102,753	\$146,048
Two Year Total for Top Ten			\$3,052,507.00

Who Makes the Most?

Most people recognize that our legislature is terribly under paid. \$12,000 and a limited per diem are not adequate to compensate for the long hours and the heavy responsibility. At the same time it is wrong to assume gift giving and special privileges are an accepted form of compensation. Lobbyists and principals provide these things with the expectation that they will win favor and gain access.

It is ironic that we the people provide so little for our lawmakers while special interests are willing to spend so much to ensure their influence is felt. Our 49 senators earn a total of \$588,000 each year while special interests spend \$14,000,000 to influence our government. It is also ironic that most senators argue that the lobby has very little effect on their vote while so many powerful entities are sure their investment will gain an advantage.

Some of the top earners for 2011-2012 are listed below.

	<u>2011</u>	<u>2012</u>	<u>Two-Year Total</u>
Muller and Robak	\$1,209,050	\$1,177,434	\$2,386,484
Radcliffe and Associates	\$1,021,008	\$1,066,886	\$2,087,894
O'Hara and Lindsay	\$886,813	\$857,503	\$1,744,316
American Communications	\$536,614	\$550,377	\$1,086,991
Kissel/E+S and Associates	\$522,657	\$540,464	\$1,063,121
Cutshal and Nowka	\$491,368	\$514,062	\$1,005,430
Peetz and Natalie	\$303,700	\$474,100	\$777,800
Schmit, of Schmit Industries	\$293,913	\$203,842	\$497,755
Brant and Associates	\$205,573	\$241,481	\$447,054
Cavanaugh Law Firm	\$208,186	\$192,929	\$401,115
Two Year Total for Top Ten			\$11,497,960.00

Public School Lobbying

Many public entities, already supported by tax dollars, spend tax dollars to lobby for more tax dollars. The classic example is public school lobbying. Below are the 16 school districts that have had the revenue to hire their own lobbyists over the last 5 years. The question is whether the investment is in the best interest of all Nebraska school children. Are these districts sacrificing a teacher to hire a lobbyist? Does the lobbying result in competition for tax dollars leaving the district without lobbyists with less funding? Are the lobbyists working for the best interests of all children or just for the children in the districts that pay them?

School Lobbying Expenses 2008-2012

School District	2008	2009	2010	2011	2012	Lobbyist
Adams Central	5,010.12	6,300.59	2,072.73	4,267.52	2,511.45	Ramaekers
Bellevue	60,200.00	75,475.00	60,075.00	73,800.00	60,000.00	Radcliffe
Bennington	8,344.93	20,000.00	9,000.00	20,200.00		Plucker
Columbus		15,000.00	11,250.00	11,250.00	15,000.00	Amack
Elkhorn	20,200.00	20,200.00	15,200.00	20,200.00	20,000.00	Cutshall
Fremont	9,667.18	15,395.89	14,001.38	12,092.29	10,558.61	Jensen/Rogert
Grand Island NW	6,050.69	6,597.74	2,081.42	4,268.01	2,606.68	Ramaekers
Grand Island	19,500.00	21,450.00	19,875.00	30,000.00	30,000.00	Amack
Lakeview			2,245.73	4,348.02	2,646.08	Ramaekers
Lexington		15,200.00	15,000.00			Bromm
Lincoln	83,388.66	95,572.35	96,186.71	70,447.95	54,823.16	Radcliffe
Millard	63,379.84	65,420.33	65,383.95	65,471.95	65,396.11	Mueller/Passarelli
Omaha	79,578.28	62,152.96	67,038.31	79,161.51	68,283.24	O'Hara
Papillion		22,186.40	20,983.00	12,200.00		Coleman

Ralston	28,750.00	23,500.00	31,020.00	27,142.50	30,073.32	Kissel
Westside	23,613.18	27,708.97	19,525.80	22,646.36	27,704.92	Schimek
Totals	\$407,682.88	\$492,160.23	\$450,939.03	\$457,496.11	\$ 389,603.57	

	2009	2010	2011	2012	Lobbyist
Learning Community	6,000.00	10,000	24,491.13	24,248.39	Jensen/Rogert
5 YEAR TOTAL + Learning Community					\$2,262,621.20

Campaign Contributions

Although we tend to separate lobbying expenses from campaign contributions, both play a key role in winning access and influence. Union Pacific didn't spend \$100,000 on lobbying in 2011 and 2012, but it spent \$110,400 on campaign contributions over those two years.

Most corporate interests contribute generously to candidates for both parties but tend to favor incumbents. It is a very practical approach. Once a candidate is elected, offers to host fundraisers begin. There is a real value in developing an eight-year relationship with a newly elected senator. Most incumbent senators will accept at least 2/3 of their campaign money from lobbyist and special interests. It is reasonable to think that term limits might reduce the fundraisers during a senator's second term but this is not the case. Many senators use campaign dollars for club memberships, travel expenses, charitable contributions, tickets to fundraising events, office supplies, computers, etc. If a senator has other political ambitions or plans to return to the legislature in four years a healthy war chest is desirable.

Lobbyist sponsored in-session fundraisers tend to be the most efficient approach to fundraising. The senators are in town, the lobbyists are in the rotunda, and the issues are on the floor. Common Cause estimates that an in-session fundraiser can generate as much as \$7,000 at a closed breakfast one hour before the senators are on the floor. Most contributions are kept under \$250 which allows the reporting to appear only as cash.

Senators report campaign contributions over \$250 and they report expenditures. However, senators and other state officials do not have to provide yearly bank statements. Senator Council was able to gamble away \$64,000 in campaign funds over a five -year period because auditors did not have access to the senator's campaign account. In 2013 -Common Cause supported legislation that would have required yearly bank statement to be submitted to the Accountability and Disclosure Commission but the Government Committee removed the provision from LB79 in executive session.

Every individual and every organization should be encouraged to make their opinions heard. Professional lobbyists are excellent communicators and providers of information. It is gift giving, entertainment and campaign contributions that create ethical questions and public concern. Common Cause continues to encourage legislation that eliminates the questionable practices and limits the influence of big money.

Jack Gould
Issues Chair
Common Cause Nebraska

EXHIBIT E

AMERICANLEGISLATOR

A FORUM FOR LEGISLATIVE DEBATE BY THE AMERICAN LEGISLATIVE EXCHANGE COUNCIL

International Trip Provides Education for the States

ALEC Blog Team | March 27, 2013 | [Add a comment](#)



International Trip Provides Education for the States

By the Honorable Cliff Branan, OK (SD — 40)

As a late addition to the group of Exchange Council legislators travelling to Canada to learn about the Alberta oil sands, a number of questions quickly came to mind — perhaps most pressing of which was, “how cold would it be?”

Another question I considered was, “what makes this oil different from what is produced right here in Oklahoma, and why is it so difficult to extract?”

After an early wake-up call to fly two hours north from Calgary, our group landed north of Fort McMurray at a facility operated by Shell. I have never seen anything like it: a sprawling modern facility in the far reaches of the North, where oil is separated from basic beach sand and the sand replaced to reclaim the land. I was impressed by the high priority and constant focus Shell places on the environment and safety of their employees. I also learned a great deal about the process, such as the answer to my question about how the oil in the Alberta sands is different from our oil in Oklahoma. The oil (or bitumen) is ancient oil that has degraded to a heavier gravity than most oil found in the world. When blended with lighter crude oils, it produces a refinery-quality crude that can be used just as more common crude oils.

This surface technique is only possible in certain portions of the Alberta oil sands, but Devon Energy developed a now widely-used ‘in-situ,’ or ‘in-place’ method of heating the underground reservoir by injecting steam and flowing the oil to the surface. The environmental footprint is limited to the well pads and the central processing plant — similar to natural gas wells and processing in the United States.

I highly recommend this International Relations Task Force oil sands trip to others. We gained valuable information about the process, facilities, operations, environmental concern and employee safety. This first-hand experience provided an excellent education on the proper way to energy production.

As for the question of weather, it was surprisingly comfortable, even for this Oklahoma man.

[READ MORE IN CATEGORY](#)

SUBSCRIBE BY EMAIL

Subscribe

HOT TOPICS

ALEC budgets Canada Clean Energy Standard Communications and Technology competition competitiveness Corrections corrections reform crime Criminal Justice data collection economy Education energy EPA EU FCC federalism free markets Government regulation health care information technology Intellectual Property International Relations International Trade juvenile justice Keystone XL law limited government Obama overcriminalization pension reform public safety recidivism Regulation renewable energy Rich States Poor States security tax Tax Myths Debunked telecommunications transparency transportation wireless

LATEST TWEET

How does #PA rank in #RSPS? Listen to our radio interview with the Lincoln Radio Journal. <http://t.co/Bg5XBE5cMu>

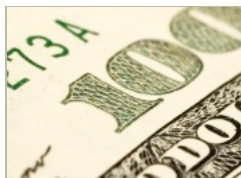
about 1 hour ago from HootSuite

[reply](#) [retweet](#) [favorite](#)

Follow @alec_states 5,357 followers



Exports Can Grow Your State's Economy



"A Destructive IRS Mandate"



The EU-Japan Free Trade Agreement

Like 15 Tweet 0

Topics: [CANADA](#) | [ENERGY](#) | [INTERNATIONAL RELATIONS](#) | [KEYSTONE XL](#)

[ALEC Blog Team](#) | [View posts by ALEC Blog Team](#)

Leave a Reply

Your email address will not be published. Required fields are marked *

Name *

Email *

Website

Comment

You may use these HTML tags and attributes: `` `<abbr title="">` `<acronym title="">` `` `<blockquote cite="">` `<cite>` `<code>` `<del datetime="">` `` `<i>` `<q cite="">` `<strike>` ``

Post Comment

Comments Policy: We pre-moderate comments on our blog before they are published. This means there will be a delay between the time your comment is submitted and it appears on the post. We reserve the right to reject comments that are rude, profane or non-germane to this post.



American Legislative Exchange Council

Like 2,925



American Legislative Exchange Council

Rich States, Poor States appears in USA TODAY.

<http://www.usatoday.com/story/money/business/2013/05/30/rich-states-poor-states-report/2372937/>

Think tank ranks GOP states best for economic growth
www.usatoday.com

JACKSON, Miss. — A conservative think tank has

Facebook social plugin



© 2013 ALEC - American Legislative Exchange Council. [Privacy Policy](#) [Terms of Use](#) [Media Policy](#)

The views in blog posts are those of their authors and do not necessarily reflect the views of The American Legislative Exchange Council. The comments posted on this website are solely the opinions of the posters. Libelous or obscene comments will be removed.

EXHIBIT F

David Sands <David.Sands@gov.ab.ca>
To: nick
RE: Alberta Government question

May 27, 2013 1:12 PM

Hi Nick,

I have confirmed that on the occasion of this oil sands site visit, a previously booked Government of Alberta aircraft was unavailable at the time of departure and we thus chartered a flight. The flight to northern Alberta originated and ended in Calgary. It would have had three "legs" – Calgary to Fort McMurray, Fort McMurray to Conklin, Conklin to Calgary.

The amount noted by the legislators thus reflects our per-person cost of that charter and associated costs of the site visit. Elected officials and media often do ask for a breakdown of costs incurred by us so they can fulfill their reporting or ethical requirements.

David Sands
Communications Director
International and Intergovernmental Relations
Government of Alberta
780 422 2524
780 619 7586

From: Nick Surgey [mailto:nick@prwatch.org]
Sent: Monday, May 27, 2013 10:24 AM
To: David Sands
Cc: Nick Surgey
Subject: Re: Alberta Government question

David,

I wanted to quickly check in about the query below. Were you able to work this out?

With thanks,

Nick

On May 24, 2013, at 8:29 AM, Nick Surgey <nick@prwatch.org> wrote:

I apologize for the multiple emails. Rep. Cliff Rosenberger (OH) also reports receiving expenses from Alberta government amounting to \$1466.67. I think this is probably the same amount as Adams- his handwriting is a little difficult to read whether it ends with a "7" or a "9".

Your help in working this out is appreciated.

Nick

On May 24, 2013, at 8:24 AM, Nick Surgey <nick@prwatch.org> wrote:

David

Are you certain that the ALEC trip was not paid for by the Alberta government? Can you provide me with the amount that you have expected Rep. John Adams from Ohio to have reported as being received from the Alberta Government? Canadian or US dollars is fine. I ask this since Adams reports having received expenses to the value of \$1466.69 from the "Government of Canadian Province of Alberta" in 2012.

Continued thanks,

EXHIBIT G



Ryan Crawford < crawf.ryan@gmail.com >

Fwd: Spreadsheet of Oil Sands Academy Contacts

2 messages

John Adams < adamshd78@woh.rr.com >
To: Ryan Crawford < crawf.ryan@gmail.com >

Mon, Nov 26, 2012 at 1:04 PM

Sent from my iPhone John Adams

Begin forwarded message:

From: Karla Jones <kjones@alec.org>
Date: November 21, 2012, 1:05:07 PM EST
To: Karla Jones <kjones@alec.org>
Subject: Spreadsheet of Oil Sands Academy Contacts

Dear Oil Sands Academy Participants, Michael Whatley and Randy Kerr,

Attached is a spreadsheet with contact information for some of the people we met during the Oil Sands Academy and to whom sending a quick thank you note might be in order. I've already sent acknowledgements to them on behalf of ALEC. Michael and Randy, if you have people that we should add to this list, I'd be most appreciative.

Barb Arnau and Cameron Brown are with the government of Alberta. Barb joined us for the first evening and helped arrange the internal flights to the oil sands facilities. Cameron was with us for most of the trip including the visits to the Oil Sands facilities and at the breakfast on Thursday morning. The government of Alberta sponsored a portion of the flights we took to the oil sands facilities.

Tanya Guilford (Shell) joined us on the flights to the oil sands facilities, and Shell sponsored our lunch boxes on Wednesday in addition to giving us a tour of their facilities. Gerry Ertel of Shell joined us for the Wednesday evening dinner.

Jennifer Grant presented to us on Thursday morning. Her organization (Pembina Institute) wants to proceed cautiously in developing the oil sands.

Stephen Higley of the American Fuel and Petrochemical Manufacturers (AFPM) presented to us at Ruth's Chris Steakhouse about low carbon fuel standards. AFPM was also one of the trip sponsors.

Peter Jaskoski of TransCanada was with us at the first presentation at TransCanada's facilities before Tuesday night's dinner. TransCanada was also one of the trip sponsors.

Greg Stringham of the Canadian Association of Petroleum Producers (CAPP) hosted and sponsored our Wednesday evening dinner at the Petroleum Club.

Michael Whatley, Randy Kerr and Natalie Joubert of CEA were invaluable in helping us to find the trip sponsors and in organizing the trip. Natalie helped with logistics in the DC office.

If you have any questions on this, do not hesitate to contact me.

All the best,

Karla

Ms. Karla Jones

Director of International and Federal Relations

American Legislative Exchange Council (ALEC)

1101 Vermont Avenue, NW

Suite 1100

Washington, DC 20005

Tel 202-742-8518

Fax 202-466-3801

Mobile 202-870-4221

Email kjones@alec.org

Web www.alec.org



Oil Sands Academy Contacts.xls

34K

EXHIBIT H

Email kjones@alec.org

Web www.alec.org

From: Karla Jones
Sent: Friday, September 28, 2012 1:05 PM
To: 'adamshd78@woh.rr.com'
Subject: Very Time Sensitive - Invitation for ALEC Oil Sands Academy October 16-18
Importance: High

Dear Representative Adams,

I'm Karla Jones, the Director of International and Federal Relations at ALEC and it is my pleasure to invite you to renew your membership with ALEC in order to participate in a special ALEC Academy to learn more about Alberta's oil sands and the proposed Keystone XL Pipeline.

The dates for the Academy are October 16, 2012-October 18, 2012, and at this point, we have a budget that will allow for ten legislator participants and have a couple of spots still remaining that we are offering on a first come first served basis. If you could let me know today, if I should reserve a spot for you, I'd be most appreciative. I apologize for the rush on this; I just got the final green light to proceed on the Academy early yesterday.

Participants will need to arrive in Calgary late in the afternoon of Tuesday, October 16 and will leave Calgary the morning of Thursday, October 19. These are the dates that work for the Alberta government and the facilities we plan to tour.

ALEC will provide round trip transportation between an airport near your home and Calgary, lodging for two nights in Calgary in a block at the Westin Calgary, group meals and transportation within Canada to and from airports. The government of the province of Alberta will provide flights from Calgary to Devon's Jackfish facility in Alberta. If you are still able to participate on the trip, please confirm this with me as soon as possible. We will also ultimately need confirmation that you have obtained approval from the applicable ethics committee about the trip.

Many thanks for your consideration, and I look forward to hearing from you!

All the best,

Karla

Keystone Academy Tentative Itinerary

Tuesday, October 16, 2012

Participants arrive in Calgary late afternoon

Tour of TransCanada's Facilities in Calgary

Group Dinner

Stay overnight at the Westin Calgary

Wednesday, October 17, 2012

Breakfast at hotel

Fly from Calgary to Jackfish Facility in northern Alberta [Alberta Government provides round trip flight between Calgary and Jackfish]

Tour Jackfish Facility

Return to Calgary

Dinner Reception with Alberta Government Officials

Stay overnight at the Westin Calgary

Thursday, October 18, 2012

Breakfast Meeting at hotel with Pembina Institute (They're an environmental group generally opposed to exploiting the oil sands and can provide the opposing point of view.)

Participants leave for home.

Ms. Karla Jones

Director of International and Federal Relations

American Legislative Exchange Council (ALEC)

1101 Vermont Avenue, NW

Suite 1100

Washington, DC 20005

EXHIBIT I



Ryan Crawford < crawf.ryan@gmail.com >

Canada Trip Flight and Lodging Cost

5 messages

Ryan Crawford < crawf.ryan@gmail.com >

Fri, Jan 18, 2013 at 8:30 AM

To: Karla Jones < kjones@alec.org >

Good Morning Karla,

Rep. Adams would like to get the information on the cost of the flight and lodging for the trip to Canada for his report this year.

Thank you

Ryan

Karla Jones < kjones@alec.org >

Fri, Jan 18, 2013 at 11:49 AM

To: Ryan Crawford < crawf.ryan@gmail.com >

Hi Ryan,

The lodging for Representative Adams was \$648.92. I turned in his flight information as a receipt for my Amex reconciliation, so as soon as our CFO gets back to me, I'll get the amount to you. I apologize for the delay.

All the best,

Karla

Ms. Karla Jones

Director of International and Federal Relations

American Legislative Exchange Council (ALEC)

1101 Vermont Avenue, NW

Suite 1100

Washington, DC 20005

Tel 202-742-8518

Fax 202-466-3801

Mobile 202-870-4221

Email kjones@alec.org

Web www.alec.org

From: Ryan Crawford [<mailto:crawf.ryan@gmail.com>]

Sent: Friday, January 18, 2013 8:31 AM

To: Karla Jones

Subject: Canada Trip Flight and Lodging Cost

[Quoted text hidden]

Karla Jones <kjones@alec.org>

Fri, Jan 18, 2013 at 12:07 PM

To: "Ryan Crawford (crawf.ryan@gmail.com)" <crawf.ryan@gmail.com>

Hi Ryan,

The flight was \$746.90.

All the best,

Karla

Ms. Karla Jones

Director of International and Federal Relations

American Legislative Exchange Council (ALEC)

1101 Vermont Avenue, NW

Suite 1100

Washington, DC 20005

Tel 202-742-8518

Fax 202-466-3801

Mobile 202-870-4221

Email kjones@alec.org

Web www.alec.org

From: Karla Jones
Sent: Friday, January 18, 2013 11:49 AM
To: 'Ryan Crawford'
Subject: RE: Canada Trip Flight and Lodging Cost

[Quoted text hidden]

Ryan Crawford <crawf.ryan@gmail.com>
To: Karla Jones <kjones@alec.org>

Tue, Jan 22, 2013 at 9:02 AM

Thank you Karla. This is a big help!

Ryan

[Quoted text hidden]

Karla Jones <kjones@alec.org>
To: Ryan Crawford <crawf.ryan@gmail.com>

Tue, Jan 22, 2013 at 1:22 PM

You're welcome, Ryan!

Ms. Karla Jones

Director of International and Federal Relations

American Legislative Exchange Council (ALEC)

1101 Vermont Avenue, NW

Suite 1100

Washington, DC 20005

Tel 202-742-8518

Fax 202-466-3801

Mobile 202-870-4221

Email kjones@alec.org

Web www.alec.org

From: Ryan Crawford [mailto:crawf.ryan@gmail.com]
Sent: Tuesday, January 22, 2013 9:03 AM
To: Karla Jones
Subject: Re: FW: Canada Trip Flight and Lodging Cost

EXHIBIT J



Ryan Crawford < crawf.ryan@gmail.com >

Fwd: ALEC Oil Sands Academy Action Items and Update

2 messages

John Adams < adamshd78@woh.rr.com >

Fri, Oct 5, 2012 at 10:30 AM

To: Ryan Crawford <crawf.ryan@gmail.com>

Send them what they need. Shoe size 10...coverall baggy....44 regular...XL whatever.....

Thanks

Respectfully,

State Representative
John Adams
adamshd78@woh.rr.com

Begin forwarded message:

From: Karla Jones <kjones@alec.org>
Subject: ALEC Oil Sands Academy Action Items and Update
Date: October 4, 2012 4:57:13 PM EDT
To: Karla Jones <kjones@alec.org>

Hi Everyone,

ALEC's Oil Sands Academy begins in less than two weeks, and by now all but one of you should have received your e-tickets by email. If you have not, let me know immediately. Additionally, you'll need your passports in order to board the flight. Thanks!

If by COB tomorrow you could email me a scanned copy of the photo/information page of your passport and a brief bio, I'd be most appreciative. Both have been requested by the Government of Alberta, which is providing the flights to the oil sands facilities we will visit, as well as by the oil sands facilities themselves. Having this information ahead of time will expedite our getting through security.

Devon, one of the facilities we will be visiting, will have us wear shoes and coveralls and has requested shoe and coverall sizes. If you could let me know your shoe and estimated coverall size, I'd really appreciate it. Thanks!

We will be touring the Shell Albion facility in Alberta, and they plan to provide us with boxed lunches. Do any of you have any dietary restrictions of which they should be aware? They will do their best to accommodate us.

Our Tuesday and Wednesday night dinners will be fixed menu. Are there any dietary restrictions of which I should be aware for these two meals? Thanks!

I will keep and distribute a contact and flight arrival listing for the group. To that end, it would be great if you could send me the mobile number you will be using during the trip. Thanks!

We will be staying at the Westin Calgary at 320 Fourth Avenue SW, Calgary, AB T2P 2S6 – a link to the hotel appears below, and a rooming list with confirmation numbers for each of you is attached.

<http://www.westincalgary.com/>

I thought the attached copies of the relevant chapters in the Frommers Guide to Alberta might interest you, and in the coming days, I will be sending you background materials about the Canadian Oil Sands and the Keystone XL Pipeline that should provide context for the information presented at the Academy.

I hope this note finds you well and invite you to contact me if you have any questions or need anything further.

All the best,
Karla

Ms. Karla Jones
Director of International and Federal Relations
American Legislative Exchange Council (ALEC)
1101 Vermont Avenue, NW
Suite 1100
Washington, DC 20005

Tel 202-742-8518
Fax 202-466-3801
Mobile 202-870-4221
Email kjones@alec.org
Web www.alec.org

4 attachments

 **Westin Rooming Participants 10-3-12.xls**
32K

 **Frommers - Alberta in Depth.pdf**
2974K

 **Frommers - Northern Alberta.pdf**
3269K

 **Frommers - Calgary Essentials.pdf**
1701K

Ryan Crawford <crawf.ryan@gmail.com>
To: John Adams <adamshd78@woh.rr.com>

Fri, Oct 5, 2012 at 10:40 AM

I will send over the shoe, coverall, and bio. What would you like to do about the information page of your passport?

Ryan

[Quoted text hidden]

ALEC Room Block Oct. 16-18					
Room	Guest	Guest	Arrive	Depart	Billing
Type	Number	Name	Date	Date	Instructions
MK	820063	Adams, John Sen	16-Oct	18-Oct	room & tax to master 16 & 17 only
MK	820064	Carlson, Tom Sen	16-Oct	18-Oct	room & tax to master 16 & 17 only
MK	820065	Griffin, Ann Sen	16-Oct	18-Oct	room & tax to master 16 & 17 only
MK	820066	MacLaren, Gary	16-Oct	18-Oct	room & tax to master 16 & 17 only
MK	820067	Merrick, Ray Sen	16-Oct	18-Oct	room & tax to master 16 & 17 only
MK	820068	Payne, William Se	16-Oct	18-Oct	room & tax to master 16 & 17 only
MK	820072	Piscopo, John	16-Oct	19-Oct	room & tax to master 16 & 17 only
MK	820069	Rogers, Chip Sen	16-Oct	18-Oct	room & tax to master 16 & 17 only
MK	820070	Rosenberger, Clif	16-Oct	18-Oct	room & tax to master 16 & 17 only
MK	820071	Smith, Jim Sen	16-Oct	18-Oct	room & tax to master 16 & 17 only

EXHIBIT K

2013 Policy Programming Sponsorship Opportunities

- **ALEC Academy - \$80,000***
 - The ALEC Academy is an intensive, two-day program for legislators that focus on a specific area of policy. Academies feature presentations from national experts and help bridge the gap between political theory and real world practice.
**Price can vary depending on location and specifics of the event.*
- **State Legislators' Guides - \$40,000***
 - A comprehensive guide for state legislators on a specific issue that is distributed to all ALEC members. Examples include the State Legislators' Guide to Prescription Drug Policy and the State Legislators' Guide to Health Insurance Solutions.
**Cost can vary based on the specifics of the project.*
- **Workshops - \$40,000/\$25,000**
 - ALEC Workshops are an excellent way for legislators to learn about major issues facing their states. They are one hour in length and the sponsor gets to assist in choosing the speakers and topic(s).
 - *Annual Meeting Workshop - \$40,000*
 - *States & Nation Policy Summit Workshop - \$25,000*
- **ALEC State Factor - \$15,000**
 - A brief policy study on a specific issue that gets distributed to ALEC's entire membership. It is also made available online at www.alec.org.
- **Issue Briefing - \$5,000**
 - Held in state capitals, Issue Briefings bring policy experts directly to lawmakers for a state-specific seminar and discussion on a critical and timely issue. ALEC staff coordinates with our public sector leadership in a specific state to encourage legislators to attend the event while handling all on-site logistics.

For any questions or for further information, please contact Danielle Muckley, Director of Development, at (202)742-8528 or dmuckley@alec.org.

American Legislative Exchange Council (ALEC) is a 501(c)(3) non-profit, tax deductible organization.
IRS Tax Code #52-0140979

EXHIBIT L

#14

NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION 11 th Floor, State Capitol P.O. Box 95086 Lincoln, NE 68509 (402) 471-2522	STATEMENT OF FINANCIAL INTERESTS NADC FORM C-1	POSTMARK DATE	HD
		MICROFILM NUMBER	8858171
BEFORE COMPLETING READ FILING REQUIREMENTS		OFFICE USE ONLY MAIL NEBRASKA 2013 MAR 26 AM 11:24 CITY & STATE Dist #14	

- Candidates for designated offices and holders of designated offices and positions must file this statement. See Sections 1A and 1B of the instructions.
- Candidates (including incumbents) subject to this filing requirement must file with the Commission and with the appropriate election official (See Instructions).
- Designated officeholders and holders of designated positions must file this statement with the Commission annually.
- Dollar values need not be report for any item, except Item 11.
- Persons who fails to file as required is subject to a civil penalty of up to \$2,000.

ITEM 1 | YOUR NAME, ADDRESS AND PHONE NUMBER

Name	Smith	Jim	I	Telephone No.	402-593-0237
	LAST	FIRST	MIDDLE		
Address	904 Bailey Drive		Papillion	NE	68046
	STREET ADDRESS OR RURAL ROUTE		CITY	STATE	ZIP CODE

ITEM 2 | OCCASION FOR FILING (Check Appropriate Box)

- | | |
|--|--|
| <input type="checkbox"/> A candidate for elective office | <input type="checkbox"/> Left office or position |
| <input checked="" type="checkbox"/> Annual officeholder's or state employee's report | <input type="checkbox"/> Newly appointed to office or position |

ITEM 3 | OFFICE HELD & TERM OF OFFICE (Incumbent elected/appointed officials and state employees. See 1B of instructions)

List the office or position you currently hold which requires this filing. If you have left office, list the office you held.

Office or Position: Nebraska Legislature Term: Jan. 2011 – Dec. 2014
BEGINS ENDS

Name of City, County, District, or State Agency: District #14

ITEM 4 | OFFICE SOUGHT (Candidates only. See 1A of instructions)

List the office sought which requires this filing.

Office:

Name of City, County, District, or State Office:

ITEM 5 | PERIOD COVERED BY THIS STATEMENT

This statement must cover all financial interests for the entire "preceding calendar year" and not just as of year-end. If you have left office, this statement must cover all financial interests from the end of the calendar year for which you previously filed up to and including the date you left office.

☒ This statement covers the preceding calendar year January 1 through December 31, 2012

☐ Left office, this statement covers the period January 1, _____ to _____
(DATE YOU LEFT OFFICE OR POSITION)

ITEM 6 | SOURCES OF INCOME OF OVER \$1,000

Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)

Name and address of any source* (including an individual, business, body of government, political subdivision or body corporate) from whom income of over \$1,000 was received.

List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income.

1.) Nebraska Legislature
State Capitol
Lincoln, NE 68509

1a.) Serves as a member of the Nebraska Unicameral

2.) Norm's Door Service Inc. DBA, Mechanical Access Systems
8920 Washington Cir.
Omaha, NE 68127

2a.) Co-owner with spouse of stock. Business provides repair, sales, and installation of overhead doors, loading dock equipment and security gates. Spouse receives salary as company president

3.) Blair-Smith Enterprises
904 Bailey Dr.
Papillion, NE 68046

3a.) Co-owner with spouse of stock. Real Estate investment business

4.)

4a.)

*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.

ITEM 7 | BUSINESSES WITH WHICH YOU ARE ASSOCIATED (See definitions)

Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need not report business associations which are otherwise listed under Item 6.

1.) Make-A-Wish Foundation
11926 Arbor St. Ste. 102
Omaha, NE 68144

1a.) Member of Board of Directors

2.) Ralston School Foundation
8545 Park Dr
Ralston, NE 68127

2a.) Member of Board of Directors

3.) Offutt Advisory Council
PO Box 13329
Ralston, NE 68127

3a.) Member of Advisory Council

4.) Wings Over the Heartland
11515 S. 39th St.
Omaha, NE 68123

4a.) Member of Board of Directors

5.)

5a.)

6.)

6a.)

7.)

7a.)

ITEM 8 | REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than \$1,000 and your personal residence need not be reported.)

List all real property in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need not report real estate owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than \$1,000. Personal residence refers to your principal dwelling-house and adjacent land used for house-hold purposes, such as lawns and gardens.

Location of Property (Description or Address)	Nature of Property (such as: agricultural, commercial, industrial, residential-rental)

ITEM 9 OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF \$1,000 AT ANY TIME DURING THE REPORTING PERIOD

(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.

Financial Institution	Address
Wells Fargo Bank Na	4707 S. 96th St. Omaha, NE 68127
First National Bank	7855 S. 84th St. La Vista, NE 68046

(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.

Morgan Stanley Smith Barney, 13625 California St. #400, Omaha, NE 68154

(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do not include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held primarily for sale or exchange.

ITEM 10 CREDITORS TO WHOM \$1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY.

Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need not be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need not be reported.

Name	Address

ITEM 11 SOURCES OF GIFTS OF A VALUE OF MORE THAN \$100 RECEIVED EXCEPT GIFTS FROM RELATIVES. (See definitions)

Name and address of Donor	Occupation or nature of business of Donor	Value of Gift (See Key Below)	Description of Gift and Circumstances or Occasion for Gift
Streamline Sales Tax Governing Board 4219 Hillsboro Pike Suite 234 Nashville, TN 37215	Sen. Smith was member of Streamline Sales Tax Governing Board (2011-2012). Meeting for member states 9/18/12 – 9/20/12	A	Hotel room for duration of meeting for member states 9/18/12 – 9/20/12
Streamline Sales Tax Governing Board 4219 Hillsboro Pike Suite 234 Nashville, TN 37215	Sen. Smith was member of Streamline Sales Tax Governing Board (2011-2012) Meeting for member states 9/18/12 – 9/20/13	B	Roundtrip airfare from Omaha to Salt Lake City for meeting of member states 9/18/12 – 9/20/12.
Steve Pella Black Hills Energy Corp. 1102 E. 1st St. Papillion, NE 68046	VP. for Corporate Affairs	B	Football tickets
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	

The monetary value of each gift shall be categorized based on the good faith estimate of the filer. For each reported gift insert in the Value column the letter which corresponds to the value category of the gift. The value categories are:

A) \$100.01 to \$200; B) \$200.01 to \$500; C) \$500.01 to \$1,000; D) \$1,000.01 or more.

ITEM 12 SIGNATURE OF FILER AND DATE.

I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.


	3/26/13
(Signature of Filer)	(Date)

EXHIBIT M



THIS STATEMENT IS TO BE
FILED IN 2013 FOR THE
CALENDAR YEAR 2012

2012 Financial Disclosure Statement

To be filed in 2013

Please provide a complete address and telephone number
PLEASE PRINT OR TYPE (Do not use pencil)

☒ House

☐ Senate

☐ Agency

1. NAME OF PERSON FILING STATEMENT

ROSENBERGER
LAST

CLIFFORD
FIRST

A
MIDDLE INITIAL

2. MAILING ADDRESS 7027 STATE ROUTE 350 W. P.O. Box 343 CLARKSVILLE 45113
(May use Home, Business or Govt) STREET CITY ZIP CODE

CLINTON
COUNTY

937-716-9869
TELEPHONE NUMBER

3. EMAIL ADDRESS (OPTIONAL) cliffrosenberger@gmail.com

4. CURRENT EMPLOYER(S) (Other than the General Assembly):

1

2

3

4

5

OFFICE OF
LEGISLATIVE
INSP. GENERAL
2013 APR 15 PM 5:06

Financial Disclosure Statement Instructions

YOU MUST COMPLETE THIS FORM IF:

- * You are a member of the General Assembly during 2013;
- * You were a member of the General Assembly during 2012;
- * You are an employee of the General Assembly or any legislative agency who has been designated by the Joint Legislative Ethics Committee, or your agency, as a required filer.

FILING FEE: \$40 FILING FEE. Campaign funds MAY be used for this fee. If you are, or were, an employee (non-elected) of the General Assembly or any legislative agency, the \$40 fee will be invoiced to your agency at a later date.

PENALTIES: Any person who knowingly files a false statement may be subject to criminal prosecution of a first degree misdemeanor. Any person who fails to file a complete financial disclosure statement by the appropriate deadline may be assessed a late filing fee of ten dollars for each day the statement is late, up to a maximum of \$250.00, and may also be subject to criminal prosecution of a fourth degree misdemeanor.

FILING DEADLINES: The filing deadline is Monday April 15, 2013, unless one of the following applies:

AN INCUMBENT MEMBER OF THE GENERAL ASSEMBLY whose name will appear on any 2013 Primary Election ballot for local office. The filing deadline is thirty days prior to the Primary Election or April 15, 2013 whichever is earliest.

APPOINTEES TO THE GENERAL ASSEMBLY: Any person appointed to fill a vacancy for an un-expired term in the General Assembly must file within 15 days after he or she qualifies for office.

EMPLOYEES: An employee of the General Assembly, or any legislative agency, must file by April 15, 2013, unless you were employed or promoted after that date, then you must file within 90 days after employment or promotion.

ADDITIONAL INFORMATION: You may attach additional pages as necessary; however, please reference the appropriate section for which you are submitting additional information.

**FOR MORE INFORMATION, PLEASE CONTACT THE JOINT LEGISLATIVE ETHICS COMMITTEE,
OFFICE OF THE LEGISLATIVE INSPECTOR GENERAL AT (614) 728-5100.**

**THIS IS A PERSONAL FINANCIAL DISCLOSURE STATEMENT.
THIS IS NOT A CAMPAIGN FINANCE-RELATED REPORT.**

1. INCOME

Complete EITHER Section A or Section B, whichever is the appropriate section.

A. IF YOU ARE A MEMBER OF THE GENERAL ASSEMBLY IN 2013 OR WERE A MEMBER OF THE GENERAL ASSEMBLY IN 2012: You are required to list **EVERY** source of income and must identify the amount of each source of income received in accordance with the following ranges using the appropriate letter: A. \$0 - \$999; B. \$1,000 - \$9,999; C. \$10,000 - \$24,999; D. \$25,000 - \$49,999; E. \$50,000 - \$99,999; F. \$100,000 or more. "Income" includes sources of gross income for federal tax purposes and interest and dividends on all governmental securities. Also, list each source of income received by any other person for your use or benefit. You are not required to list the sources of income of your spouse, unless the income was received specifically for your use or benefit. MILEAGE REIMBURSEMENT IS REPORTED IN "SECTION 8. TRAVEL". DO NOT INCLUDE MILEAGE REIMBURSEMENT IN "SECTION 1. INCOME".

You are not required to list the individual items of income from your business or profession, only the name of any business, governmental agency, or employer from which you received income EXCEPT (1) you must list the source and dollar amount of income received, or shared with a partner in your business or profession, that is attributable to services or goods provided to a client or customer who is a "legislative agent" AND/OR (2) you must list the source and dollar amount of income received from a person or entity that is doing or seeking to do business with the General Assembly.

Attorneys, physicians, or other professionals subject to confidentiality requirements as described in Revised Code Section 102.02(A)(2)(c) should list each practice separately but need not disclose the names of their clients, patients or other recipients of professional services unless those clients, patients or other recipients of professional services are legislative agents. If the client, patient or other recipient of professional services is a legislative agent, you must disclose each client, patient or other recipient of professional services unless excepted under Revised Code Section 102.02(A)(2)(c).

For each source of income listed, briefly describe the services for which the income was received.

EXAMPLE:

SOURCE OF INCOME	SERVICE PERFORMED	AMOUNT (A, B, C, D, E, OR F)
State of Ohio	Senator/Representative	E
Smith and Jones Law Firm	Lawyer	C
Friendly National Bank	Interest on Savings Account	A
Leo Lobbyist - Legislative Agent	Boat Insurance Policy	\$143.00
123 Main Street	Rental Income	B

IF NONE, CHECK HERE ☐

SOURCE OF INCOME	SERVICE PERFORMED	AMOUNT (A, B, C, D, E, OR F)
1 STATE OF OHIO	REPRESENTATIVE	E
2 NATIONAL BANK + TRUST	INTEREST ON SAVINGS ACCOUNT	A
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		

B. IF YOU ARE AN EMPLOYEE OF THE GENERAL ASSEMBLY OR ANY LEGISLATIVE AGENCY:

You are required to list each source of gross income. You are not required to disclose any dollar amounts except as indicated below. Also, list each source of income received by any other person for your use or benefit. Remember to list your employment as a source of income. "Income" includes gross income for federal tax purposes and interest and dividends on all governmental securities. You are not required to list the sources of income of your spouse, unless the income was received for your use or benefit.

You are not required to list the individual items of income from your business or profession, only the name of any business, governmental agency, or employer from which you received income EXCEPT (1) you must list the source and dollar amount of income received, or shared with a partner in your business or profession, that is attributable to services or goods provided to a client or customer who is a "legislative agent" AND/OR (2) you must list the source and dollar amount of income received from a person or entity that is doing or seeking to do business with the General Assembly.

Attorneys, physicians, and other professionals subject to confidentiality requirements as described in Revised Code Section 102.02(A)(2)(c) should list each practice separately but need not disclose the names of their clients, patients or other recipients of professional services, unless those clients, patients or other recipients of professional services are legislative agents. If the client, patient or other recipient of professional services is a legislative agent, you must disclose each client, patient or other recipient of professional services, unless excepted under Revised Code Section 102.02(A)(2)(c).

For each source of income listed, briefly describe the services for which the income was received.

IF NONE, CHECK HERE ☐

SOURCE OF INCOME	SERVICE PERFORMED	AMOUNT (if required)
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		

2. IMMEDIATE FAMILY MEMBERS

List the names of members of your immediate family. "Immediate family" is defined as your spouse residing in your household and any dependent child.

IF NONE, CHECK HERE ☒

1	2
3	4
5	6
7	8
9	10

3. BUSINESS NAMES

List all names under which you or members of your immediate family do business.

IF NONE, CHECK HERE ☒

1	2
3	4
5	6
7	8
9	10
11	12

4A. Fiduciary Relationships

List the names of each corporation incorporated or authorized to do business in Ohio and each trust, business trust, partnership, or association authorized to do business or transacting business in Ohio in which you hold an office or have a fiduciary relationship (regardless of any monetary investment), including holding office in a not-for-profit corporation. For each listing, give a brief description of the office, or relationship.

EXAMPLE:

NAME OF CORPORATION, TRUST, BUSINESS TRUST, PARTNERSHIP, OR ASSOCIATION	NAME OF OFFICE OR FIDUCIARY RELATIONSHIP
Smith and Jones	Partner
XYZ Inc.	President
Neighborhood Civic Association	Volunteer Trustee

IF NONE, CHECK HERE ☒

NAME OF CORPORATION, TRUST, BUSINESS TRUST, PARTNERSHIP, OR ASSOCIATION	NAME OF OFFICE OR FIDUCIARY RELATIONSHIP
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	

4B. Investments

List the names of each corporation incorporated or authorized to do business in Ohio and each trust, business trust, partnership, or association authorized to do business or transacting business in Ohio in which you or any other person for your use or benefit had an investment of more than \$1,000 during 2012 (at fair market value as of December 31, 2012, or the date of disposition, whichever is earlier). Include all investments of more than \$1,000 even though they constitute a source of income.

You do not have to disclose accounts with banks, building and loan associations, savings and loan associations, and credit unions if the amount is a deposit or withdrawable share account. You are not required to list investments held solely by your spouse unless the investment is strictly for your use or benefit. For each listing, give a brief description of the investment.

EXAMPLE:

NAME OF CORPORATION, TRUST, BUSINESS TRUST, PARTNERSHIP, OR ASSOCIATION	TYPE OF INVESTMENT
Acme Corporation	Common Stock
Public Employee Retirement System of Ohio (PERS)	Retirement Fund
Public Employee Deferred Compensation	Mutual Fund
Brokerage Firm	Money Market Account
ABC Fund	Mutual Fund

IF NONE, CHECK HERE ☐

NAME OF CORPORATION, TRUST, BUSINESS TRUST, PARTNERSHIP, OR ASSOCIATION	TYPE OF INVESTMENT
1 PUBLIC EMPLOYEE RETIREMENT SYSTEM OF OHIO	RETIREMENT FUND
2 PUBLIC EMPLOYEE DEFERRED COMPENSATION	MUTUAL FUND
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	

5. REAL ESTATE

List all leasehold or ownership interests in real property located in Ohio to which you hold legal title or in which you have any beneficial interest. You do not have to list your personal residence or any real property used primarily for personal recreation. List by address, parcel number, or other legal description.

IF NONE, CHECK HERE ☒

STREET ADDRESS	CITY	COUNTY	ZIP CODE
1			
2			
3			
4			
5			
6			
7			
8			
9			

6. CREDITORS

List the names of all your creditors residing or transacting business in Ohio to whom you owe, or have owed, at any time during the calendar year 2012, more than \$1,000 in your own name or in the name of any other person. You must disclose automobile loans, school loans, credit card accounts, and all other similar accounts if the balance has exceeded \$1,000 at any time during the calendar year 2012, even if no balance is currently outstanding. You do not have to disclose the debts on your personal residence or real property used primarily for personal recreation, or short-term debts resulting from the ordinary conduct of a business or profession.

IF NONE, CHECK HERE ☐

1 CAPITAL ONE	2 GREAT LAKES
3 UNITED SERVICES AUTOMOBILE ASSOCIATION	4 513rd BANK
5 BANK OF AMERICA	6
7	8
9	10
11	12
13	14
15	16
17	18

7. DEBTORS

List the names of all your debtors residing or transacting business in Ohio who owe, or have owed, at any time during the calendar year 2012, you more than \$1,000 in your own name or in the name of any other person for your use or benefit. If you are an attorney, physician, or any other professional subject to a confidentiality requirement as described in Revised Code Section 102.02(A)(2)(c), you do not have to disclose the names of your clients, patients or other recipients of professional services. You do not have to disclose the names of persons indebted to you if they are short-term debts resulting from the ordinary conduct of your business or profession. Banks, building and loan associations, savings and loan associations, and credit unions need not be listed if the only moneys owed to you by them are moneys which you deposited with such institutions or which are in a withdrawable share account.

IF NONE, CHECK HERE ☒

1	2
3	4
5	6
7	8

8. TRAVEL

List the source and amount of each payment of expenses incurred for travel. Travel expenses include payments or reimbursements received by you in your own name, or by any other person for your use or benefit, for travel in connection with your official duties. You must disclose every payment or reimbursement of expenses for travel both inside and outside of Ohio. You must disclose travel payments or reimbursements, INCLUDING MILEAGE REIMBURSEMENTS, made to you, or on your behalf, by the General Assembly.

You do not have to disclose expenses incurred at a meeting or convention of a national or state organization to which any state agency, including, but not limited to, any legislative agency or state institution of higher education as defined in section 3345.011 of the Revised Code, pays membership dues. Questions regarding membership status should be directed to the organization.

IF NONE, CHECK HERE ☐

SOURCE	AMOUNT
1 THE OHIO HOUSE OF REPRESENTATIVES - MILEAGE REIMBURSEMENTS	\$ 3,350.70
2 NIAGARA FOUNDATION	\$ 890.00
3 Socar	\$ 300.00 ^{mm}
4	
5	
6 Government of the Canadian Province of Alberta	1466.67 ^{mm}
7 American Legislative Exchange Council	1574.40 ^{mm}
8	
9	
10	

9. GIFTS

List the source of each gift or gifts worth more than \$75, aggregated for the calendar year 2012, received by you in your own name, or by any other person for your use or benefit. If you are a member of the General Assembly, also list the source of each gift or gifts over \$25, aggregated for the calendar year 2012, received from a legislative agent. If you are a member or employee of the General Assembly, you are prohibited from receiving a gift or gifts from a legislative agent where the value of the gift or gifts aggregated per calendar year, exceeds \$75.

You do not have to disclose the source of gifts received under a will or by inheritance. Also, you do not have to disclose the source of gifts received from your spouse, parents, grandparents, children, grandchildren, siblings, nephews, nieces, uncles, aunts, cousins, step-relations, brothers-in-law, sisters-in-law, sons-in-law, daughters-in-law, fathers-in-law, mothers-in-law, or any person to whom you stand in loco parentis, or received by way of distribution from any inter vivos or testamentary trust established by a spouse or ancestor.

IF NONE, CHECK HERE ☐

1	CITY OF MASON, OHIO	2	MR. BRADY TEMPLETON
3	NIAGARA FOUNDATION	4	
5		6	
7		8	
9		10	
11		12	
13		14	
15		16	

10. MEALS, FOOD AND BEVERAGES

List the source of each payment of expenses for meals and other food and beverages received in connection with your official duties that exceed \$100 aggregated for the calendar year 2012. Expenses include payments or reimbursements to you. You must include the General Assembly if it was the source of expenses or reimbursements for meals, food, or beverages totaling over \$100 for the calendar year 2012.

You are not required to disclose the source of meals and other food and beverages provided at a meeting at which you participated in a panel, seminar, or speaking engagement; or at a meeting or convention of a national or state organization to which any state agency, including, but not limited to, any legislative agency or state institution of higher education as defined in section 3345.011 of the Revised Code, pays membership dues. Nor must you disclose any meals or beverages, which have been reported in Section 8 above as travel expenses paid by the General Assembly.

IF NONE, CHECK HERE ☐

1	NIAGARA FOUNDATION	2	American Legislative Exchange Council
3		4	
5		6	
7		8	

11. NON-DISPUTED INFORMATION

The lobbying community must file final lobbying expenditure reports with the Joint Legislative Ethics Committee by January 31, 2013. Not later than March 15, 2013, JLEC will provide each legislative financial disclosure statement filer with a personalized report of non-disputed information for 2012. If you do not dispute this report, please attach it to your statement. If you dispute the information contained therein, or did not receive a report, please contact JLEC at 614-728-5100. You can monitor reported lobbying expenditures at any time by visiting the Ohio Lobbying Activity Center at www.ohiolobbying.com.

PLEASE SEE ATTACHMENT "NON-DISPUTED INFORMATION REPORT": ☒

I HAVE NO NON-DISPUTED INFORMATION TO REPORT: ☐

I PREFER TO LIST MY NON-DISPUTED INFORMATION BELOW: ☐

1	2
3	4
5	6
7	8

12. LICENSES

Any member of the General Assembly who engages in the conduct or practice of a particular business, profession, trade, or occupation that is subject to licensing or regulation by any branch, department, division, institution, instrumentality, board, commission, or bureau of the state, is required to file a notice that he or she is the holder of a particular license, or is engaged in such activity, as part of the financial disclosure statement. Therefore, if you are a member of the General Assembly, list all licenses held or a description of any regulated activity.

IF NONE, CHECK HERE ☒

License Held	
Regulated Activity	

BEFORE SIGNING THIS STATEMENT, PLEASE REVIEW EVERY QUESTION TO MAKE CERTAIN YOU HAVE DISCLOSED THE NECESSARY INFORMATION OR, IF YOU HAVE NOTHING TO DISCLOSE IN A GIVEN QUESTION, YOU HAVE CHECKED THE BOX MARKED "NONE."

IF THE ANSWER TO ANY QUESTION IS OMITTED, THE STATEMENT IS INCOMPLETE UNDER THE LAW AND WILL BE RETURNED TO YOU.

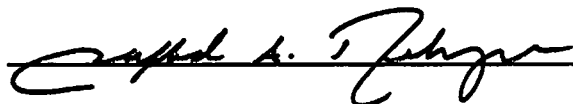
PERSONS WHO FAIL TO FILE A COMPLETE STATEMENT BY THE APPROPRIATE DEADLINE MAY BE ASSESSED A LATE FILING FEE. A KNOWING FAILURE TO FILE IS A MISDEMEANOR OFFENSE.

By signing below, I swear or affirm that this statement and any additional attachments were prepared by, or carefully reviewed by, me and constitute a complete, truthful, and correct disclosure of all required information.

By signing below, I acknowledge awareness and understanding of Sections 102.02(D) and 2921.13(A)(7) of the Ohio Revised Code which prohibit me from knowingly filing a false statement and which are criminal misdemeanors of the first degree, punishable by a fine of not more than \$1,000 or imprisonment of not more than six months, or both. (See Revised Code Sections 102.99(B), 2921.13(F)(1), and 2929.21.)

By signing below, I acknowledge that either I have paid the **\$40 FILING FEE** (check or money order ONLY made payable to JLEC) which must accompany this disclosure form, or that I am, or was, an employee (non-elected) of the General Assembly, or any legislative agency and that my agency will be invoiced at a later date.

Your Signature is Required



Date

04/11/2013

NOTE: No person is required to file more than one financial disclosure statement for any given calendar year with the appropriate ethics agency. If you are a member of the Ohio General Assembly you will file the JLEC Statement with the Joint Legislative Ethics Committee regardless of your status as a former local or statewide officeholder, candidate for local or statewide office or service on a state board or commission. If you are a member of the General Assembly and receive a Financial Disclosure Statement from the Ohio Ethics Commission, **DISREGARD** the statement provided by the Ohio Ethics Commission.

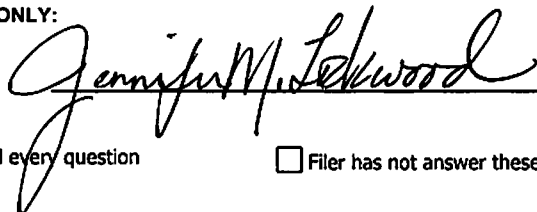
RETAIN A COPY OF THIS STATEMENT FOR YOUR RECORDS

This statement must be filed with:

JOINT LEGISLATIVE ETHICS COMMITTEE
OFFICE OF THE LEGISLATIVE INSPECTOR GENERAL
50 West Broad Street, Suite 1308
Columbus, Ohio 43215-5908
(614) 728-5100

FOR OFFICIAL USE ONLY:

Reviewed By:



Date

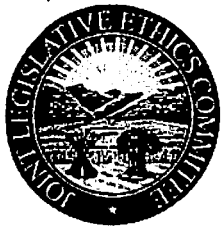
4/17/13

☒ Filer has answered every question

☐ Filer has not answer these questions:

Date form returned to filer: ___/___/___

Date completed form received at OLIG: ___/___/___



JOINT LEGISLATIVE ETHICS COMMITTEE

130th OHIO GENERAL ASSEMBLY

To: Joint Legislative Ethics Committee
From: The Honorable Cliff Rosenberger
Date: March 15, 2013
Re: §11 Non-disputed Information for the 2012 Financial Disclosure Statement to be filed in 2013

I do not dispute the following report of non-disputed information for calendar year 2012.

Reporting Period: Starting: Jan-Apr12
Ending: Sep-Dec12

Agent: First Name:
Last Name:

Employer Name:

Bill:

Recipient: Rosenberg

Industry:

Type: All

Rep. Period	Employer	Agent F Name	Agent L Name	Type	Recipient	Bill	Description	Amount	Date
May-Aug12	City of Mason			A	Cliff Rosenberger	none	2 tennis tickets valued at \$150	\$150.00	8/17/2012 View
May-Aug12	City of Mason			B	Cliff Rosenberger		lunch expense	\$18.95	8/17/2012 View
								TOTAL:	\$168.95



THIS STATEMENT IS TO BE
FILED IN 2013 FOR THE
CALENDAR YEAR 2012

2012 Financial Disclosure Statement

To be filed in 2013

Please provide a complete address and telephone number
PLEASE PRINT OR TYPE (Do not use pencil)

☒ House

☐ Senate

☐ Agency

1. NAME OF PERSON FILING STATEMENT

Adams

John

P

LAST

FIRST

MIDDLE INITIAL

2. MAILING ADDRESS 1509 Bon Air Circle
(May use Home, Business or Govt) STREET

Sidney

OH

CITY

ZIP CODE

Shelby

COUNTY

(937) 498-2458

TELEPHONE NUMBER

3. EMAIL ADDRESS
(OPTIONAL)

4. CURRENT EMPLOYER(S) (Other than the General Assembly):

1 Francis Furniture of Celina Inc.

2

3

4

5

2013 APR 15 PM 3:56
OFFICE OF
LEGISLATIVE
INSP. GENERAL

Financial Disclosure Statement Instructions

YOU MUST COMPLETE THIS FORM IF:

- * You are a member of the General Assembly during 2013;
- * You were a member of the General Assembly during 2012;
- * You are an employee of the General Assembly or any legislative agency who has been designated by the Joint Legislative Ethics Committee, or your agency, as a required filer.

FILING FEE: \$40 FILING FEE. Campaign funds MAY be used for this fee. If you are, or were, an employee (non-elected) of the General Assembly or any legislative agency, the \$40 fee will be invoiced to your agency at a later date.

PENALTIES: Any person who knowingly files a false statement may be subject to criminal prosecution of a first degree misdemeanor. Any person who fails to file a **complete** financial disclosure statement by the appropriate deadline may be assessed a late filing fee of ten dollars for each day the statement is late, up to a maximum of \$250.00, and may also be subject to criminal prosecution of a fourth degree misdemeanor.

FILING DEADLINES: The filing deadline is **Monday April 15, 2013**, **unless** one of the following applies:

AN INCUMBENT MEMBER OF THE GENERAL ASSEMBLY whose name will appear on any 2013 Primary Election ballot for local office. The filing deadline is thirty days prior to the Primary Election or April 15, 2013 whichever is earliest.

APPOINTEES TO THE GENERAL ASSEMBLY: Any person appointed to fill a vacancy for an un-expired term in the General Assembly must file within 15 days after he or she qualifies for office.

EMPLOYEES: An employee of the General Assembly, or any legislative agency, must file by April 15, 2013, unless you were employed or promoted after that date, then you must file within 90 days after employment or promotion.

ADDITIONAL INFORMATION: You may attach additional pages as necessary; however, please reference the appropriate section for which you are submitting additional information.

FOR MORE INFORMATION, PLEASE CONTACT THE JOINT LEGISLATIVE ETHICS COMMITTEE, OFFICE OF THE LEGISLATIVE INSPECTOR GENERAL AT (614) 728-5100.

**THIS IS A PERSONAL FINANCIAL DISCLOSURE STATEMENT.
THIS IS NOT A CAMPAIGN FINANCE-RELATED REPORT.**

1. INCOME

Complete EITHER Section A or Section B, whichever is the appropriate section.

A. IF YOU ARE A MEMBER OF THE GENERAL ASSEMBLY IN 2013 OR WERE A MEMBER OF THE GENERAL ASSEMBLY IN 2012: You are required to list EVERY source of income and must identify the amount of each source of income received in accordance with the following ranges using the appropriate letter: A. \$0 - \$999; B. \$1,000 - \$9,999; C. \$10,000 - \$24,999; D. \$25,000 - \$49,999; E. \$50,000 - \$99,999; F. \$100,000 or more. "Income" includes sources of gross income for federal tax purposes and interest and dividends on all governmental securities. Also, list each source of income received by any other person for your use or benefit. You are not required to list the sources of income of your spouse, unless the income was received specifically for your use or benefit. MILEAGE REIMBURSEMENT IS REPORTED IN "SECTION 8. TRAVEL". DO NOT INCLUDE MILEAGE REIMBURSEMENT IN "SECTION 1. INCOME".

You are not required to list the individual items of income from your business or profession, only the name of any business, governmental agency, or employer from which you received income EXCEPT (1) you must list the source and dollar amount of income received, or shared with a partner in your business or profession, that is attributable to services or goods provided to a client or customer who is a "legislative agent" AND/OR (2) you must list the source and dollar amount of income received from a person or entity that is doing or seeking to do business with the General Assembly.

Attorneys, physicians, or other professionals subject to confidentiality requirements as described in Revised Code Section 102.02(A)(2)(c) should list each practice separately but need not disclose the names of their clients, patients or other recipients of professional services unless those clients, patients or other recipients of professional services are legislative agents. If the client, patient or other recipient of professional services is a legislative agent, you must disclose each client, patient or other recipient of professional services unless excepted under Revised Code Section 102.02(A)(2)(c).

For each source of income listed, briefly describe the services for which the income was received.

EXAMPLE:

SOURCE OF INCOME	SERVICE PERFORMED	AMOUNT (A, B, C, D, E, OR F)
State of Ohio	Senator/Representative	E
Smith and Jones Law Firm	Lawyer	C
Friendly National Bank	Interest on Savings Account	A
Leo Lobbyist - Legislative Agent	Boat Insurance Policy	\$143.00
123 Main Street	Rental Income	B

IF NONE, CHECK HERE ☐

SOURCE OF INCOME	SERVICE PERFORMED	AMOUNT (A, B, C, D, E, OR F)
1 State of Ohio	State Representative	E
2 Francis Furniture of Celina Inc.	Officer	B
3 Francis Furniture of Greenville Inc.	Co-owner, Officer	E
4 Furniture Express Factory Outlet Inc.	Co-owner, Officer	C
5 SRF Partnership	Co-owner, Real Estate	C
6 CUNA Mutual Life Insurance	Interest income	A
7 Minster Bank / Minster Financial Corp.	Interest and dividends	A
8 Buckeye Fermentation Club	Interest and dividends	A
9 JP Morgan Chase	Dividends	A
10		
11		
12		

B. IF YOU ARE AN EMPLOYEE OF THE GENERAL ASSEMBLY OR ANY LEGISLATIVE AGENCY:

You are required to list each source of gross income. You are not required to disclose any dollar amounts except as indicated below. Also, list each source of income received by any other person for your use or benefit. Remember to list your employment as a source of income. "Income" includes gross income for federal tax purposes and interest and dividends on all governmental securities. You are not required to list the sources of income of your spouse, unless the income was received for your use or benefit.

You are not required to list the individual items of income from your business or profession, only the name of any business, governmental agency, or employer from which you received income EXCEPT (1) you must list the source and dollar amount of income received, or shared with a partner in your business or profession, that is attributable to services or goods provided to a client or customer who is a "legislative agent" AND/OR (2) you must list the source and dollar amount of income received from a person or entity that is doing or seeking to do business with the General Assembly.

Attorneys, physicians, and other professionals subject to confidentiality requirements as described in Revised Code Section 102.02(A)(2)(c) should list each practice separately but need not disclose the names of their clients, patients or other recipients of professional services, unless those clients, patients or other recipients of professional services are legislative agents. If the client, patient or other recipient of professional services is a legislative agent, you must disclose each client, patient or other recipient of professional services, unless excepted under Revised Code Section 102.02(A)(2)(c).

For each source of income listed, briefly describe the services for which the income was received.

IF NONE, CHECK HERE ☒

SOURCE OF INCOME	SERVICE PERFORMED	AMOUNT (if required)
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		

2. IMMEDIATE FAMILY MEMBERS

List the names of members of your immediate family. "Immediate family" is defined as your spouse residing in your household and any dependent child.

IF NONE, CHECK HERE ☐

1 Tara Adams	2 Brian Adams
3 Andrew Adams	4 Maria Adams
5 Lisa Adams	6 Claire Adams
7 Matthew Adams	8
9	10

3. BUSINESS NAMES

List all names under which you or members of your immediate family do business.

IF NONE, CHECK HERE ☐

1 Francis Furniture of Celina Inc	2 Francis Furniture of Greenville Inc
3 Francis Furniture Inc	4 Furniture Express Factory Outlet Inc
5 A&S Leasing Ltd	6 SRF Family Partnership
7 FFRS Family Partnership	8 FF Five Investments
9 Francis Family Real Estate Holdings	10 Tara Adams, Accountant
11	12

4A. Fiduciary Relationships

List the names of each corporation incorporated or authorized to do business in Ohio and each trust, business trust, partnership, or association authorized to do business or transacting business in Ohio in which you hold an office or have a fiduciary relationship (regardless of any monetary investment), including holding office in a not-for-profit corporation. For each listing, give a brief description of the office, or relationship.

EXAMPLE:

NAME OF CORPORATION, TRUST, BUSINESS TRUST, PARTNERSHIP, OR ASSOCIATION	NAME OF OFFICE OR FIDUCIARY RELATIONSHIP
Smith and Jones	Partner
XYZ Inc.	President
Neighborhood Civic Association	Volunteer Trustee

IF NONE, CHECK HERE ☐

NAME OF CORPORATION, TRUST, BUSINESS TRUST, PARTNERSHIP, OR ASSOCIATION	NAME OF OFFICE OR FIDUCIARY RELATIONSHIP
1 Francis Furniture of Celina Inc	President
2 Francis Furniture of Greenville Inc	Secretary
3 Furniture Express Factory Outlet Inc	Secretary
4 SRF Family Partnership	Partner
5 A&S Leasing Ltd.	Partner
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	

4B. Investments

List the names of each corporation incorporated or authorized to do business in Ohio and each trust, business trust, partnership, or association authorized to do business or transacting business in Ohio in which you or any other person for your use or benefit had an investment of more than \$1,000 during 2012 (at fair market value as of December 31, 2012, or the date of disposition, whichever is earlier). Include all investments of more than \$1,000 even though they constitute a source of income.

You do not have to disclose accounts with banks, building and loan associations, savings and loan associations, and credit unions if the amount is a deposit or withdrawable share account. You are not required to list investments held solely by your spouse unless the investment is strictly for your use or benefit. For each listing, give a brief description of the investment.

EXAMPLE:

NAME OF CORPORATION, TRUST, BUSINESS TRUST, PARTNERSHIP, OR ASSOCIATION	TYPE OF INVESTMENT
Acme Corporation	Common Stock
Public Employee Retirement System of Ohio (PERS)	Retirement Fund
Public Employee Deferred Compensation	Mutual Fund
Brokerage Firm	Money Market Account
ABC Fund	Mutual Fund

IF NONE, CHECK HERE ☐

NAME OF CORPORATION, TRUST, BUSINESS TRUST, PARTNERSHIP, OR ASSOCIATION	TYPE OF INVESTMENT
1 A&S Leasing Ltd	Building ownership
2 Francis Furniture of Celina Inc	Stock ownership
3 Francis Furniture of Greenville Inc	Stock ownership
4 Furniture Express Factory Outlet Inc.	Stock ownership
5 SRF Family Partnership	Building ownership
6 Minster Financial Corp.	Common stock
7 JP Morgan Chase	Common stock
8 Buckeye Fermentation Club	Stock club/stock ownership
9 CUNA Mutual Life	Insurance investment
10 Scottrade/Universal Display Corp	Stock investment
11 Prudential Financial	IRA Funds
12 Ohio Public Employees Retirement System	Mutual Funds
13 Ohio Deferred Compensation	Mutual Funds
14 New York Life Insurance & Annuity	IRA Funds
15	
16	

5. REAL ESTATE

List all leasehold or ownership interests in real property located in Ohio to which you hold legal title or in which you have any beneficial interest. You do not have to list your personal residence or any real property used primarily for personal recreation. List by address, parcel number, or other legal description.

IF NONE, CHECK HERE ☐

STREET ADDRESS	CITY	COUNTY	ZIP CODE
1 1410 Wagner Ave.	Greenville	Darke	45331
2 2551 St. Rt. 68 S.	Bellefontaine	Logan	43311
3			
4			
5			
6			
7			
8			
9			

6. CREDITORS

List the names of all your creditors residing or transacting business in Ohio to whom you owe, or have owed, at any time during the calendar year 2012, more than \$1,000 in your own name or in the name of any other person. You must disclose automobile loans, school loans, credit card accounts, and all other similar accounts if the balance has exceeded \$1,000 at any time during the calendar year 2012, even if no balance is currently outstanding. You do not have to disclose the debts on your personal residence or real property used primarily for personal recreation, or short-term debts resulting from the ordinary conduct of a business or profession.

IF NONE, CHECK HERE ☐

1 Minster Bank	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18

7. DEBTORS

List the names of all your **debtors** residing or transacting business in Ohio who **owe, or have owed**, at any time during the calendar year 2012, **you** more than \$1,000 in your own name or in the name of any other person for your use or benefit. If you are an attorney, physician, or any other professional subject to a confidentiality requirement as described in Revised Code Section 102.02(A)(2)(c), you do not have to disclose the names of your clients, patients or other recipients of professional services. You do not have to disclose the names of persons indebted to you if they are short-term debts resulting from the ordinary conduct of your business or profession. Banks, building and loan associations, savings and loan associations, and credit unions need not be listed if the only moneys owed to you by them are moneys which you deposited with such institutions or which are in a withdrawable share account.

IF NONE, CHECK HERE ☐

1 A&S Leasing Ltd.	2 Committee To Elect John Adams
3	4
5	6
7	8

8. TRAVEL

List the **source** and **amount** of each payment of expenses incurred for **travel**. Travel expenses include payments or reimbursements received by you in your own name, or by any other person for your use or benefit, for travel **in connection with your official duties**. You must disclose every payment or reimbursement of expenses for travel **both** inside and outside of Ohio. You must disclose travel payments or reimbursements, **INCLUDING MILEAGE REIMBURSEMENTS**, made to you, or on your behalf, by the General Assembly.

You do not have to disclose expenses incurred at a meeting or convention of a national or state organization to which any **state agency**, including, but not limited to, any legislative agency or state institution of higher education as defined in section 3345.011 of the Revised Code, pays membership dues. Questions regarding membership status should be directed to the organization.

IF NONE, CHECK HERE ☐

SOURCE	AMOUNT
1 The Ohio House of Representatives - General Assembly	2891.70 ^m
2 Niagara Foundation	890.00 ^m
3 Solar	300.00 ^m
4 Government of Canadian Province of Alberta	1461.67 ^m
5 American Legislative Exchange Council	1395.82 ^m
6	
7	
8	
9	
10	

9. GIFTS

List the source of each gift or gifts worth more than \$75, aggregated for the calendar year 2012, received by you in your own name, or by any other person for your use or benefit. If you are a member of the General Assembly, also list the source of each gift or gifts over \$25, aggregated for the calendar year 2012, received from a legislative agent. If you are a member or employee of the General Assembly, you are prohibited from receiving a gift or gifts from a legislative agent where the value of the gift or gifts aggregated per calendar year, exceeds \$75.

You do not have to disclose the source of gifts received under a will or by inheritance. Also, you do not have to disclose the source of gifts received from your spouse, parents, grandparents, children, grandchildren, siblings, nephews, nieces, uncles, aunts, cousins, step-relations, brothers-in-law, sisters-in-law, sons-in-law, daughters-in-law, fathers-in-law, mothers-in-law, or any person to whom you stand in loco parentis, or received by way of distribution from any inter vivos or testamentary trust established by a spouse or ancestor.

IF NONE, CHECK HERE ☐

1	Ohio Association of Election Officials ^{ml}	2	Vectra Corporation ^{ml}
3	Ohio Christian Alliance ^{ml}	4	Ningern Foundation ^{ml}
5		6	
7		8	
9		10	
11		12	
13		14	
15		16	

10. MEALS, FOOD AND BEVERAGES

List the source of each payment of expenses for meals and other food and beverages received in connection with your official duties that exceed \$100 aggregated for the calendar year 2012. Expenses include payments or reimbursements to you. You must include the General Assembly if it was the source of expenses or reimbursements for meals, food, or beverages totaling over \$100 for the calendar year 2012.

You are not required to disclose the source of meals and other food and beverages provided at a meeting at which you participated in a panel, seminar, or speaking engagement; or at a meeting or convention of a national or state organization to which any state agency, including, but not limited to, any legislative agency or state institution of higher education as defined in section 3345.011 of the Revised Code, pays membership dues. Nor must you disclose any meals or beverages, which have been reported in Section 8 above as travel expenses paid by the General Assembly.

IF NONE, CHECK HERE ☐

1	American Legislative Exchange Council ^{ml}	2	Ningern Foundation ^{ml}
3		4	
5		6	
7		8	

11. NON-DISPUTED INFORMATION

The lobbying community must file final lobbying expenditure reports with the Joint Legislative Ethics Committee by January 31, 2013. Not later than March 15, 2013, JLEC will provide each legislative financial disclosure statement filer with a personalized report of non-disputed information for 2012. If you do not dispute this report, please attach it to your statement. If you dispute the information contained therein, or did not receive a report; please contact JLEC at 614-728-5100. You can monitor reported lobbying expenditures at any time by visiting the Ohio Lobbying Activity Center at www.ohiolobbying.com.

PLEASE SEE ATTACHMENT "NON-DISPUTED INFORMATION REPORT": ☒ *m*

I HAVE NO NON-DISPUTED INFORMATION TO REPORT: ☐ *m*

I PREFER TO LIST MY NON-DISPUTED INFORMATION BELOW: ☐

1	2
3	4
5	6
7	8

12. LICENSES

Any member of the General Assembly who engages in the conduct or practice of a particular business, profession, trade, or occupation that is subject to licensing or regulation by any branch, department, division, institution, instrumentality, board, commission, or bureau of the state, is required to file a notice that he or she is the holder of a particular license, or is engaged in such activity, as part of the financial disclosure statement. Therefore, if you are a member of the General Assembly, list all licenses held or a description of any regulated activity.

IF NONE, CHECK HERE ☐

License Held	Private Pilot
Regulated Activity	Airplane

BEFORE SIGNING THIS STATEMENT, PLEASE REVIEW EVERY QUESTION TO MAKE CERTAIN YOU HAVE DISCLOSED THE NECESSARY INFORMATION OR, IF YOU HAVE NOTHING TO DISCLOSE IN A GIVEN QUESTION, YOU HAVE CHECKED THE BOX MARKED "NONE."

IF THE ANSWER TO ANY QUESTION IS OMITTED, THE STATEMENT IS INCOMPLETE UNDER THE LAW AND WILL BE RETURNED TO YOU.

PERSONS WHO FAIL TO FILE A COMPLETE STATEMENT BY THE APPROPRIATE DEADLINE MAY BE ASSESSED A LATE FILING FEE. A KNOWING FAILURE TO FILE IS A MISDEMEANOR OFFENSE.

By signing below, I swear or affirm that this statement and any additional attachments were prepared by, or carefully reviewed by, me and constitute a complete, truthful, and correct disclosure of all required information.

By signing below, I acknowledge awareness and understanding of Sections 102.02(D) and 2921.13(A)(7) of the Ohio Revised Code which prohibit me from knowingly filing a false statement and which are criminal misdemeanors of the first degree, punishable by a fine of not more than \$1,000 or imprisonment of not more than six months, or both. (See Revised Code Sections 102.99(B), 2921.13(F)(1), and 2929.21.)

By signing below, I acknowledge that either I have paid the **\$40 FILING FEE** (check or money order ONLY made payable to JLEC) which must accompany this disclosure form, or that I am, or was, an employee (non-elected) of the General Assembly, or any legislative agency and that my agency will be invoiced at a later date.

Your Signature is Required

Date

4-11-13

NOTE: No person is required to file more than one financial disclosure statement for any given calendar year with the appropriate ethics agency. If you are a member of the Ohio General Assembly you will file the JLEC Statement with the Joint Legislative Ethics Committee regardless of your status as a former local or statewide officeholder, candidate for local or statewide office or service on a state board or commission. If you are a member of the General Assembly and receive a Financial Disclosure Statement from the Ohio Ethics Commission, **DISREGARD** the statement provided by the Ohio Ethics Commission.

RETAIN A COPY OF THIS STATEMENT FOR YOUR RECORDS

This statement must be filed with:

JOINT LEGISLATIVE ETHICS COMMITTEE
OFFICE OF THE LEGISLATIVE INSPECTOR GENERAL
50 West Broad Street, Suite 1308
Columbus, Ohio 43215-5908
(614) 728-5100

FOR OFFICIAL USE ONLY:

Reviewed By:

Jennifer H. Lockwood

Date

4.18.13

☒ Filer has answered every question

☐ Filer has not answer these questions:

Date form returned to filer: ___/___/___

Date completed form received at OLIG: ___/___/___



JOINT LEGISLATIVE ETHICS COMMITTEE

130th OHIO GENERAL ASSEMBLY

To: Joint Legislative Ethics Committee
From: The Honorable John Adams
Date: March 15, 2013
Re: §11 Non-disputed Information for the 2012 Financial Disclosure Statement to be filed in 2013

I do not dispute the following report of non-disputed information for calendar year 2012.

Reporting Period: Starting: Jan-Apr12 Ending: Sep-Dec12
Agent: First Name: Last Name:
Employer Name:
Bill:
Recipient: John Adam
Industry:
Type: All
Search

Rep. Period	Employer	Agent F	Agent L	Type	Recipient	Bill	Description	Amount	Date	
		Name	Name							
Jan-Apr12	Miami University			B	John Adams		Lunch	\$25.00	4/2/2012	View
Jan-Apr12	Ohio Association of Election Officials			A	John Adams		award for legislator of the year	\$90.90	1/26/2012	View
Jan-Apr12	Ohio State Bar Association			B	John Adams		lunch	\$8.95	1/12/2012	View
May-Aug12	National Federation of Independent Business/Ohio			B	John Adams	Small business legislative issues	National Federation of Independent Business Small Business Causcus Luncheon	\$27.74	6/6/2012	View
May-Aug12	Vectren Corporation			A	John Adams		Dayton Air Show	\$300.00	7/7/2012	View
Sep-Dec12	Ohio Christian Alliance			A	John Adams	SB 165	Freedom Award	\$196.69	9/17/2012	View
								TOTAL:\$649.28		